



# Chromothripsis as an on-target consequence of CRISPR–Cas9 genome editing

Mitchell L. Leibowitz<sup>1,2,3,8</sup>, Stamatis Papathanasiou<sup>2,3,8</sup>, Phillip A. Doerfler<sup>4</sup>, Logan J. Blaine<sup>2,3</sup>, Lili Sun<sup>5</sup>, Yu Yao<sup>4</sup>, Cheng-Zhong Zhang<sup>6,7</sup>, Mitchell J. Weiss<sup>4</sup>✉ and David Pellman<sup>1,2,3</sup>✉

**Genome editing has therapeutic potential for treating genetic diseases and cancer. However, the currently most practicable approaches rely on the generation of DNA double-strand breaks (DSBs), which can give rise to a poorly characterized spectrum of chromosome structural abnormalities. Here, using model cells and single-cell whole-genome sequencing, as well as by editing at a clinically relevant locus in clinically relevant cells, we show that CRISPR–Cas9 editing generates structural defects of the nucleus, micronuclei and chromosome bridges, which initiate a mutational process called chromothripsis. Chromothripsis is extensive chromosome rearrangement restricted to one or a few chromosomes that can cause human congenital disease and cancer. These results demonstrate that chromothripsis is a previously unappreciated on-target consequence of CRISPR–Cas9-generated DSBs. As genome editing is implemented in the clinic, the potential for extensive chromosomal rearrangements should be considered and monitored.**

CRISPR–Cas9 is directed to its target site by a guide RNA (gRNA), creating specific DNA DSBs almost anywhere in the genome<sup>1,2</sup>. Error-prone DNA repair by non-homologous end joining (NHEJ) of Cas9-generated DSBs can create small insertions and deletions, which can be exploited therapeutically by disrupting protein-coding or DNA regulatory sequences. A particularly promising application of this approach is for autologous hematopoietic stem cell (HSC) therapy of common  $\beta$ -hemoglobinopathies including sickle cell disease and  $\beta$ -thalassemia. Specifically, NHEJ-mediated disruption of DNA regions that are required for the repression of fetal hemoglobin (HbF) expression in red blood cell progenitors can alleviate the symptoms of severe  $\beta$ -hemoglobinopathies<sup>3–6</sup>. Cas9 can also be used to install precise nucleotide substitutions by homology-directed repair (HDR) for correction of monogenic diseases, including reversion of the mutant sickle cell disease codon<sup>1,2,7–10</sup>. Several promising CRISPR-based strategies that do not require DSB intermediates have been described but are at earlier stages of development and have not yet been advanced to clinical trials<sup>11–13</sup>. Moreover, these strategies generate single-strand DNA nicks that, at relatively low frequency, can be converted into DSBs.

It is important to understand the genotoxicities associated with therapeutic CRISPR–Cas9 genome editing. While much attention has been paid to unintended, ‘off-target’ DSBs<sup>14</sup>, this outcome can be reduced by using more specific gRNA species, high-specificity Cas nucleases or other gene-editing strategies such as the use of double nickases<sup>14</sup>. Less is known about potential detrimental consequences that arise from on-target genome-editing-mediated DSBs. On-target DNA breakage can induce the tumor suppressor encoded by *TP53*, which, in principle, might create selective pressure for *TP53* loss and thus potentially support tumorigenesis<sup>15–18</sup>. Additionally, on-target genome editing can cause local DNA rearrangements and deletions up to several kilobases in length<sup>19–24</sup>, megabase-scale deletions telomeric to the CRISPR–Cas9 cut site<sup>23,25,26</sup> and loss of

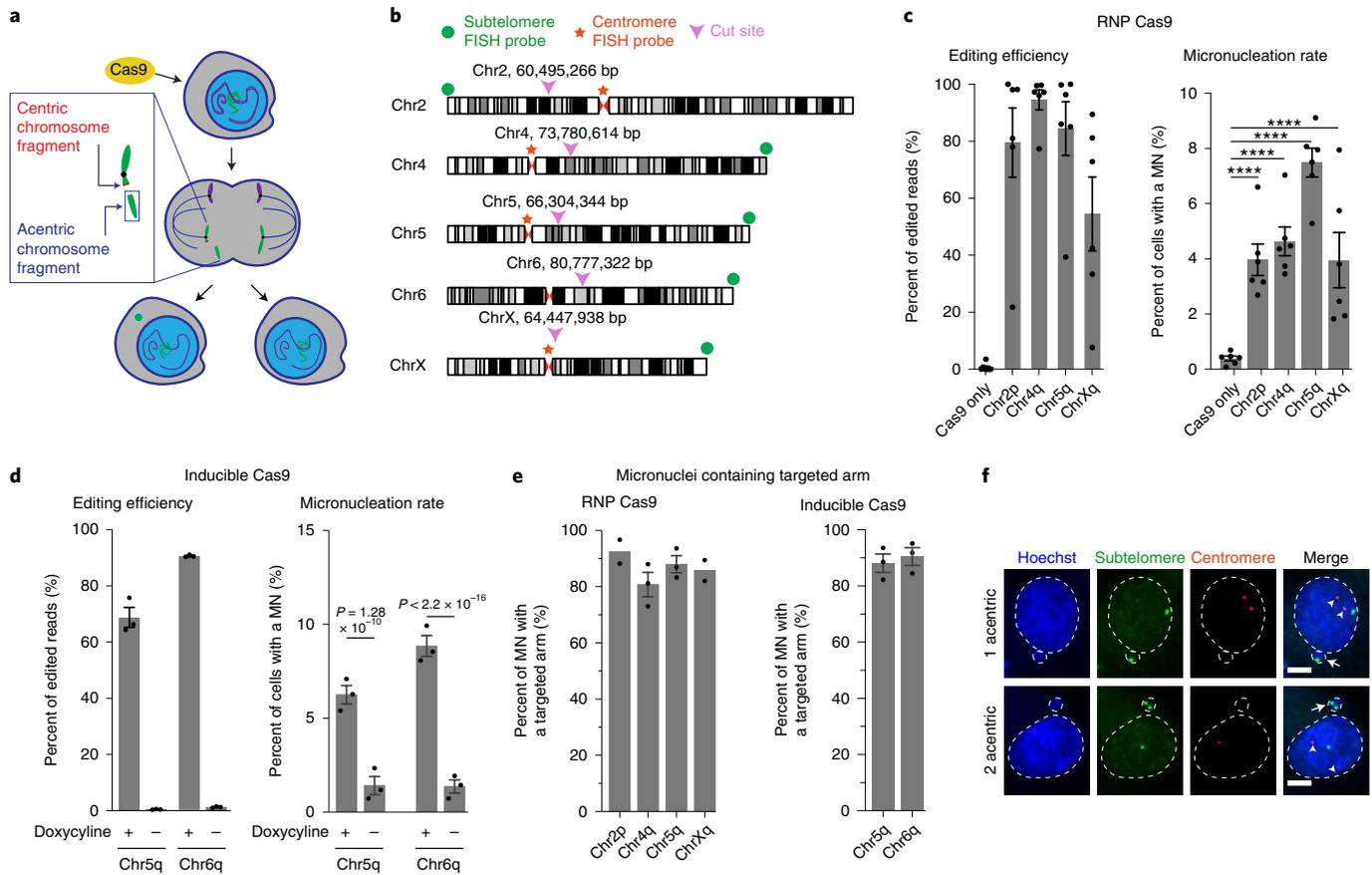
the entire cleaved chromosome<sup>23</sup>. The mechanisms leading to these DNA alterations remain poorly defined. Moreover, genome-editing protocols that induce more than one on-target DSB can lead to incorrect DNA-end joining and chains of chromosome translocations that can persist at low levels for months in treated patients<sup>27</sup>. Reassuringly, to date, these translocations have not been linked to deleterious consequences<sup>27</sup>.

Here, using a variety of approaches including the combination of imaging and single-cell whole-genome sequencing (Look-Seq)<sup>28,29</sup>, we report that chromothripsis is a previously unrecognized consequence of on-target Cas9-mediated DNA breakage. This occurs because, in actively dividing cells, genome editing with Cas9 causes up to a 20-fold increase in the formation of micronuclei and/or chromosome bridges, aberrant nuclear structures that can initiate chromothripsis. In addition to causing rare human congenital disease<sup>30,31</sup>, chromothripsis is common in cancer, where it is well established that chromothripsis generates tumor suppressor loss, fusion oncogenes or oncogene amplification through the formation of circular double-minute chromosomes<sup>32–36</sup>. Unlike chromothripsis during tumorigenesis, CRISPR–Cas9-induced chromothripsis occurs on targeted chromosomes, meaning that its carcinogenic potential will likely depend upon the set of genes on the targeted chromosome arm and whether rearrangements occurring after the initial cut cause those genes to be deleted, fused or amplified. Our findings reveal that initial errors from on-target genome editing can be amplified into far more extensive genetic alterations in subsequent cell cycles via the generation of micronuclei and chromosome bridges.

## Results

**CRISPR–Cas9 genome editing generates micronuclei.** Cas9 generates a DSB that cleaves the targeted chromosome into two segments: one with the centromere region (the ‘centric’ fragment) and

<sup>1</sup>Howard Hughes Medical Institute, Chevy Chase, MD, USA. <sup>2</sup>Department of Cell Biology, Blavatnik Institute, Harvard Medical School, Boston, MA, USA. <sup>3</sup>Department of Pediatric Oncology, Dana-Farber Cancer Institute, Boston, MA, USA. <sup>4</sup>Department of Hematology, St. Jude Children’s Research Hospital, Memphis, TN, USA. <sup>5</sup>Single-Cell Sequencing Program, Dana-Farber Cancer Institute, Boston, MA, USA. <sup>6</sup>Department of Biomedical Informatics, Blavatnik Institute, Harvard Medical School, Boston, MA, USA. <sup>7</sup>Department of Data Sciences, Dana-Farber Cancer Institute, Boston, MA, USA. <sup>8</sup>These authors contributed equally: Mitchell L. Leibowitz, Stamatis Papathanasiou. ✉e-mail: [mitch.weiss@stjude.org](mailto:mitch.weiss@stjude.org); [david\\_pellman@dfci.harvard.edu](mailto:david_pellman@dfci.harvard.edu)



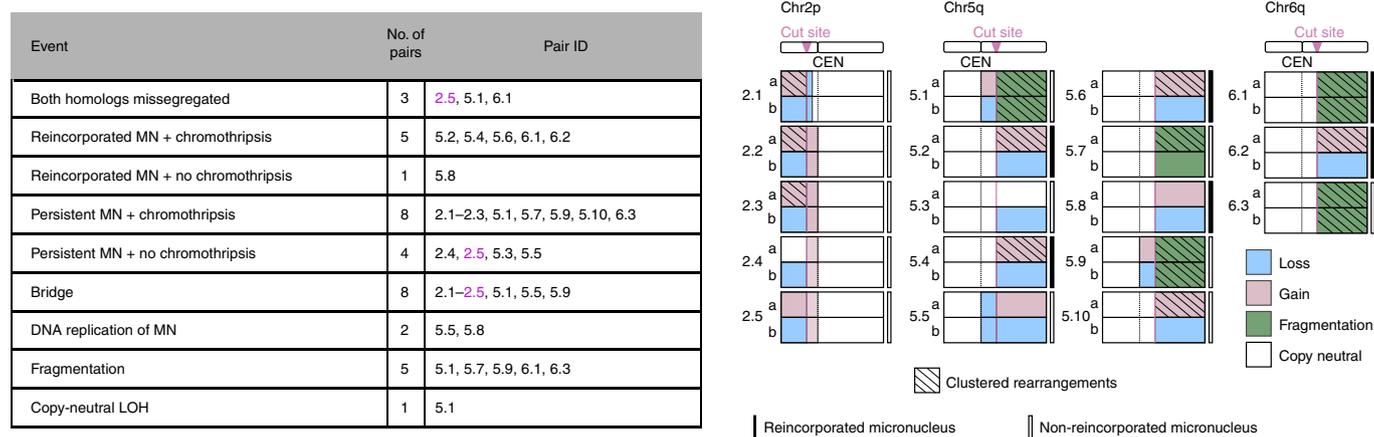
**Fig. 1 | Micronucleation is an on-target consequence of CRISPR-Cas9 genome editing.** **a**, Schematic of how Cas9 DNA cleavage of a chromosome arm can generate micronuclei. In the example shown, cleavage of one sister chromatid occurs during G2. The centric fragment segregates properly into a daughter nucleus, whereas the acentric fragment that cannot be segregated by the spindle is partitioned into a micronucleus. Variations on this outcome include cleavage in G1, cleavage of both sisters in a G2 cell and cleavage of both homologous chromosomes. **b**, Chromosome locations of gRNA species and FISH probes. Magenta arrowheads and numerical coordinates indicate the cut sites for specific gRNA species. Green dot, acentric fragment FISH probe locations; red star, centric fragment FISH probe locations. **c**, The frequency of micronucleation after CRISPR-Cas9 RNP transfection in p53-proficient RPE-1 cells. Left, editing efficiency after Cas9-gRNA RNP transfection. Right, frequency of micronucleation for these transfections 46 h after release of RPE-1 cells from a G1 block ( $n=3$  experiments with 5,311, 5,451, 5,144, 4,555 and 5,272 cells scored, left to right). Error bars, mean  $\pm$  s.e.m.; \*\*\*\* $P < 2.2 \times 10^{-16}$ , two-tailed Fisher's exact test. MN, micronucleus. **d**, As in **c** but for doxycycline-inducible CRISPR-Cas9 editing with constitutively expressed gRNA. p53 siRNA treatment was performed before doxycycline treatment ( $n=3$  experiments with 1,265, 1,261, 1,244 and 1,239 cells scored for micronucleation, left to right). Error bars, mean  $\pm$  s.e.m.; two-tailed Fisher's exact test. **e**, Percentage of micronuclei containing the targeted chromosome arm. Left, RNP transfection ( $n=2$  experiments with 64 and 96 micronuclei scored for chr2p and chrXq, respectively;  $n=3$  experiments with 83 and 116 micronuclei scored for chr4q and chr5q, respectively). Right, RPE-1 cells with inducible Cas9 and constitutively expressed gRNA ( $n=3$  experiments with 168 micronuclei scored for each). Error bars, mean  $\pm$  s.e.m. **f**, Example images of FISH analysis after Cas9-gRNA RNP transfection from data in **e** (a single plane from a confocal imaging stack). Red, centric fragment probe; green, acentric fragment probe; blue, Hoechst stain (DNA); white arrows, micronuclei; white arrowheads, centromeres; dashed white line, outline of Hoechst (DNA) label. Scale bar, 5  $\mu$ m.

one without it (the 'acentric' fragment). If the DSB is not repaired before cell division, the acentric fragment lacking a functional centromere can missegregate, forming a micronucleus (Fig. 1a)<sup>37–39</sup>.

We evaluated this possibility in genetically stable human retinal pigment epithelial cells (hTERT RPE-1). To estimate the rate of micronucleation in a single cell cycle, we synchronized cells with a serum-starvation block-and-release protocol followed by transfection with a Cas9-gRNA ribonucleoprotein (RNP) complex shortly before the next cell division (22 h after release, approximately during S/G2 (Extended Data Fig. 1a)). We used single gRNA (sgRNA) species, each targeting unique genomic sites on four different chromosomes (Fig. 1b and Supplementary Table 1). All gRNA species target intergenic sequences, except for one that disrupts the erythroid-specific enhancer of the *BCL11A* gene on chromosome 2 ('chr2p'), according to therapeutic strategies to induce HbF to treat  $\beta$ -thalassemia or

sickle cell disease<sup>5,6,40</sup> (NCT03655678, NCT03745287). The *BCL11A* gene encodes a transcriptional repressor protein that silences  $\gamma$ -globin expression postnatally in red blood cells.

CRISPR-Cas9 cutting at individual target sites induced micronucleation (hereafter 'CRISPR-MN') at frequencies of 4.0–7.5%, 10.2–19.3-fold higher than in controls (Fig. 1c). Similar results were obtained in asynchronous cells (Extended Data Fig. 1b) and in cells that constitutively expressed gRNA species (targeting chr5q and chr6q), with Cas9 expressed from a third-generation doxycycline-inducible promoter (Fig. 1b,d and Extended Data Fig. 1a,c)<sup>41</sup>. The frequencies of genome editing and micronucleation correlated in general, although not with a strict 1:1 correspondence. Other factors, including locus-specific differences in DNA-repair efficiency<sup>42</sup>, may impact micronucleation rates independently of editing efficiency.



**Fig. 2 | Summary of genomic outcomes after the division of 18 micronucleated cells.** Left, summary table. Reincorporation of the MN DNA was inferred from the absence of detectable extranuclear GFP-histone (H)2B signal in either granddaughter. Bridges were inferred from the DNA sequence analysis. Replication of the MN or copy number-neutral LOH was inferred from haplotype-resolved DNA copy number. Fragmentation was evident from reciprocal changes in the DNA copy number along the chromosome arm when comparing the two granddaughters. Multiple classes of genomic events can occur in a single sample, highlighted by sample 2.5 in magenta text. Right, schematic summary of each of the 18 granddaughter cell pairs. Numbers to the left of the schematics are IDs: the first number is the targeted chromosome; the second number is a sample identifier for that chromosome. These experiments were performed using inducible Cas9 (chr5q and chr6q gRNA species) and RNP (chr2p gRNA).

Fluorescence in situ hybridization (FISH) established that 81–92% of CRISPR-MN contained the chromosome arm targeted by the specific gRNA species (Fig. 1e,f and Extended Data Fig. 1d). Most micronuclei contained two copies of the targeted chromosome segment, which could result from either cleavage of both homologous chromosomes in a cell or from cleavage of both sister chromatids of one homolog in the G2 phase of a cell (Fig. 1f and Extended Data Fig. 1d). Co-staining with centromere-specific FISH probes confirmed that CRISPR-MN were mostly acentric chromosome fragments, as expected (Fig. 1f). Similar results for micronucleus formation and chromosome arm copy number alterations were also obtained in BJ foreskin fibroblasts (Extended Data Fig. 1e,f).

Allele-specific genome editing has numerous medical applications<sup>43</sup>. In principle, DSBs on one homolog might lead to less frequent micronucleation due to the potential for HDR from the intact homolog. Using gRNA species that target only one allele due to a heterozygous polymorphism in the protospacer adjacent motif (PAM), we detected CRISPR–Cas9 editing events exclusively on the targeted homolog (Extended Data Fig. 1g,h). These editing events were associated with a 2.7- and a 12.0-fold increase in micronucleation frequency for chr1p- and chr5q-targeting gRNA species, respectively (Extended Data Fig. 1i). Allele-specific gRNA species primarily generated CRISPR-MN with two copies of the targeted chromosome (Extended Data Fig. 1j). Therefore, allele-specific guides do not eliminate genome-editing-induced micronucleus formation in actively dividing cells, consistent with findings that homologous chromosomes are poor DSB repair substrates in mitotic cells<sup>44</sup>.

Importantly, CRISPR-MN exhibited characteristic functional defects, including spontaneous nuclear envelope rupture (Extended Data Fig. 2a), defective DNA replication (Extended Data Fig. 2b) and the accumulation of DNA damage (Extended Data Fig. 2c–e)<sup>45–52</sup>. Therefore, CRISPR–Cas9 genome editing can generate micronuclei containing the acentric fragment of the targeted chromosome, which is then subject to extensive DNA damage.

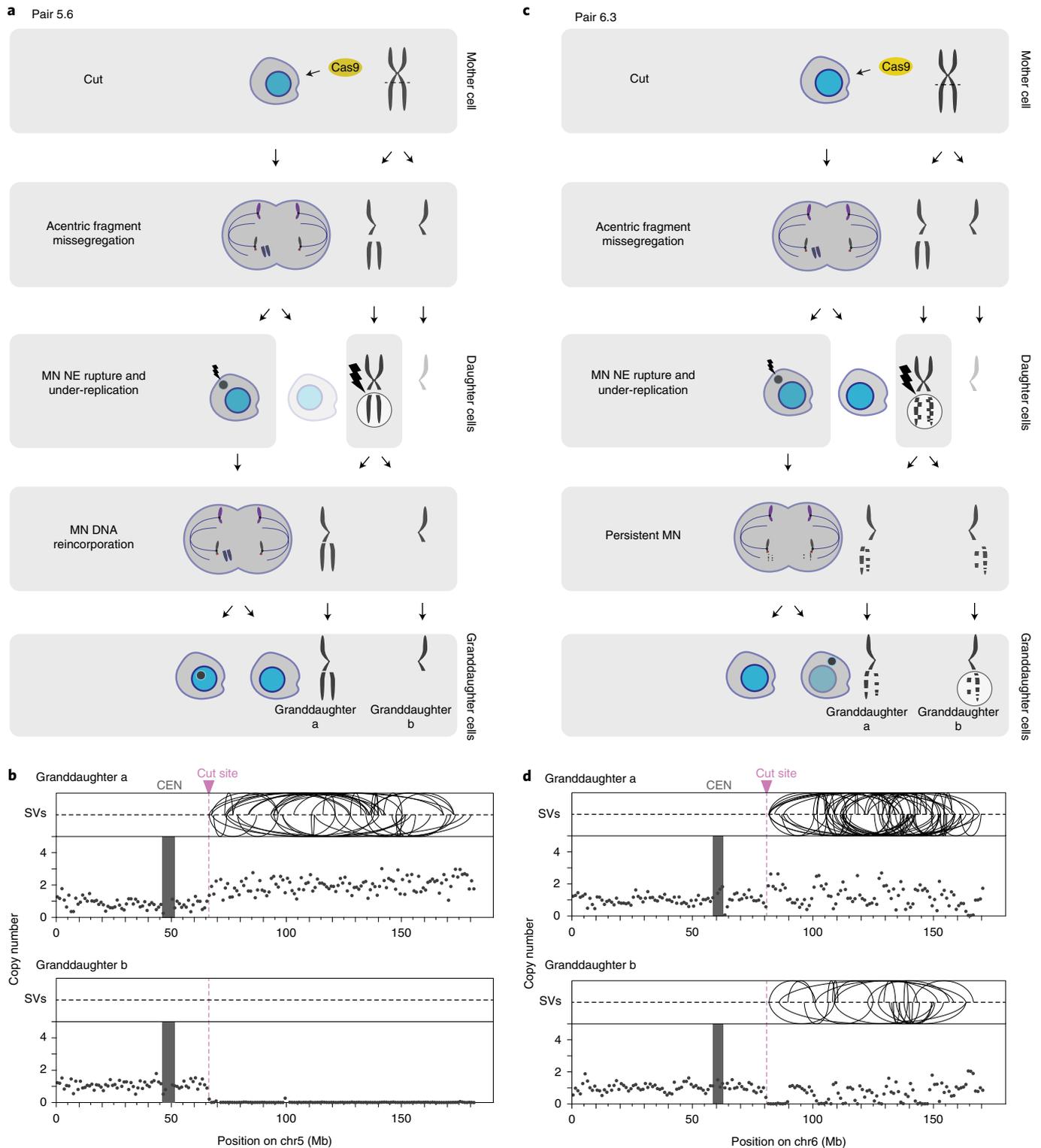
### Chromothripsis as a consequence of Cas9 genome editing.

Generation of micronuclei after a CRISPR–Cas9-induced DSB suggested that chromothripsis might be an unrecognized, on-target consequence of CRISPR–Cas9 genome editing. To directly test this hypothesis, we used ‘Look-Seq’, a procedure combining long-term

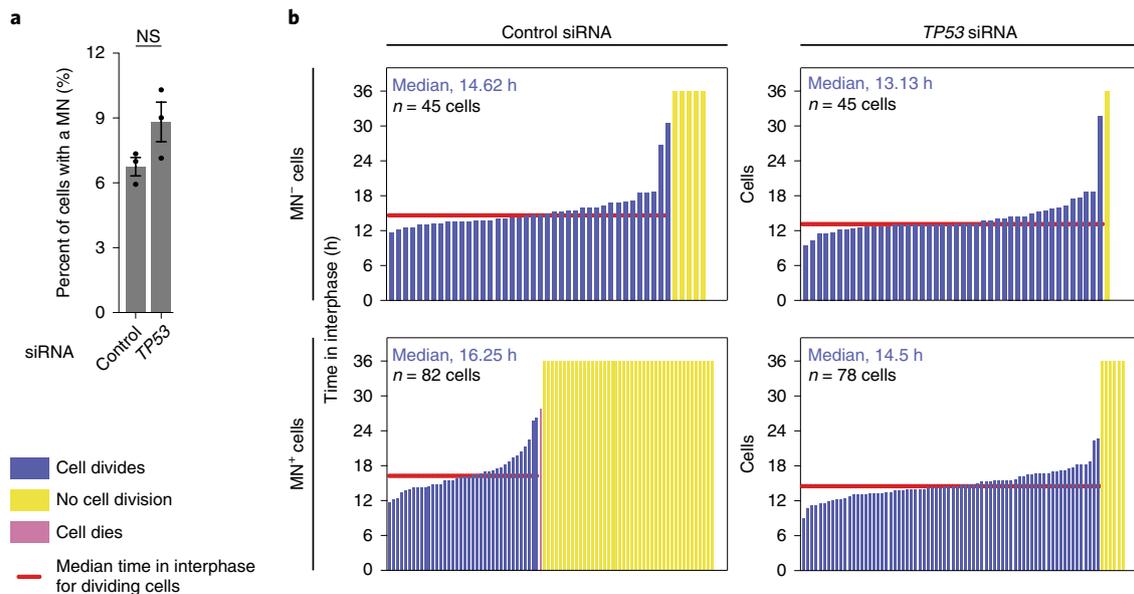
live-cell imaging with single-cell whole-genome sequencing of the imaged cells<sup>28,29</sup>. CRISPR-MN were generated in daughter cells as described above, using three different gRNA species, including the chr2p guide targeting the erythroid-specific *BCL11A* enhancer<sup>5,40</sup>. Because Cas9 genome editing or division of the resulting micronucleated cells could be limited by p53 induction<sup>15–18</sup>, we transiently depleted p53 by small interfering (si)RNA-mediated knockdown before inducing CRISPR-MN. Micronucleated daughter cells were allowed to divide, and their progeny (granddaughter cells) were then isolated for single-cell sequencing.

In total, we sequenced 18 granddaughter pairs derived from micronucleated daughter cells. The targeted chromosome arm exhibited several patterns of copy number alterations which may be explained as follows: Cas9 can cleave one or both homologous chromosomes and one or both sister chromatids, acentric fragments can be distributed in any combination to granddaughter cells, and/or the micronuclear DNA can be severely under-replicated (in most cases, DNA replication in micronuclei is highly inefficient)<sup>37,45,51</sup>. We observed examples consistent with each of the above scenarios (Fig. 2) and additional events from co-occurring chromosome bridges, as discussed later.

Haplotype-specific copy number analysis showed that, in 15 of 18 granddaughter pairs, the acentric arm from one homologous chromosome was missegregated, whereas both homologs were missegregated in the remaining three pairs (Fig. 2 and Extended Data Fig. 3, pairs 2.5, 5.1, 6.1). In one notable example, missegregation of the acentric chromosome fragments occurred in a ‘swapped’ manner in which both paternal copies of the acentric fragment segregated to one daughter and both maternal copies segregated to the other daughter. This generated copy-neutral loss of heterozygosity (LOH) for the chromosome segment telomeric to the CRISPR–Cas9 cut site (that is, uniparental disomy for the acentric fragment in both granddaughter cells, pair 5.1 in Extended Data Fig. 3). Copy-neutral LOH is common in cancer and can result in tumor suppressor inactivation<sup>53</sup>. Our findings potentially provide a simple mechanistic explanation for similar LOH patterns that were noted but not explained after CRISPR–Cas9 genome editing<sup>24,54,55</sup>. In summary, on-target Cas9 genome editing can generate micronuclei, which, in turn, can induce arm-level DNA copy number alterations as well as copy number-neutral LOH.



**Fig. 3 | CRISPR-Cas9 genome editing can cause chromothripsis. a,b**, Chromothripsis after a micronucleus is reincorporated into a granddaughter cell. **a**, Cartoon depicting cellular events leading to the genomic outcomes for CRISPR-Cas9 pair 5.6 (Extended Data Fig. 3). Cells are on the left, and chromosomes are depicted on the right. In the first generation, both sisters from one homolog were cleaved in a G2 cell (horizontal dashed line) that divided to generate a micronucleated daughter (left) and a non-micronucleated daughter (right, faded cell, not subsequently followed). DNA in the micronucleus is poorly replicated. In the second cell division, the micronuclear chromosome was reincorporated into a granddaughter cell's primary nucleus. Lightning bolt, DNA damage; NE, nuclear envelope. **b**, Plots showing structural variants (SVs) and DNA copy number for the haplotype of the cleaved chromosome. Intrachromosomal SVs (>1 Mb) are shown by the curved lines. Copy number plot is shown in 1-Mb bins. CEN, centromere. **c,d**, Chromothripsis after the bulk of a micronuclear chromosome fails to be reincorporated into a granddaughter cell primary nucleus for pair 6.3 (Extended Data Fig. 3). Cartoon (**c**) and SV and copy number plots (**d**) as in **a,b**. In this example, the two arms from cleaved sister chromatids are fragmented, generating chromothripsis in both daughters.



**Fig. 4 | The impact of p53 status on the ability of micronucleated cells to undergo division.** **a**, p53 loss does not affect the frequency of micronucleation after CRISPR-Cas9 genome editing. Cells, with or without p53 RNA interference, were synchronized and released from a G1 block, and chr5q CRISPR-MN were generated by doxycycline-induced Cas9 expression as shown in Extended Data Fig. 1a, bottom scheme. RFP-H2B-labeled micronucleated cells were identified ~40 h after release ( $n=3$  experiments with 1,186 and 1,234 cells scored, left to right, knockdown of 22.2–87.2% (mean, 44.7%; standard deviation, 36.8) of total p53 at 48 h after release from the G1 block). Error bars, mean  $\pm$  s.e.m.;  $P=0.0801$ , two-tailed Fisher's exact test. NS, not significant. **b**, The results of long-term live-cell imaging are shown as lifetime plots of control and micronucleated cells, with or without p53 knockdown ( $n=3$  experiments). Profiles only include cells for which the complete cell cycle, starting from mitosis, was viewed.

Chromothripsis is extensive chromosomal rearrangements that are clustered on one or a few chromosomes or chromosome arms and are commonly accompanied by oscillations between two or three DNA copy number levels<sup>32,37,56</sup>. We identified the characteristic clustering of rearrangements on the acentric segment of the Cas9-targeted chromosome arm in 13 of 18 granddaughter pairs sequenced (Figs. 2 and 3 and Extended Data Figs. 3 and 4,  $P \leq 7.3 \times 10^{-6}$ , one-sided Poisson test, Supplementary Table 2). The most striking example was a targeted chr6q arm in which we detected 646 intrachromosomal breakpoints distributed between the two granddaughter cells (Extended Data Figs. 3 and 4, pair 6.1). By a one-sided Poisson test with Bonferroni correction<sup>28</sup>, no enrichment of intrachromosomal rearrangements was identified for any chromosome arm other than the arm targeted by CRISPR-Cas9 (Supplementary Table 2). If we included interchromosomal rearrangements, we found a single non-targeted arm with a  $P$  value of 0.02 (whereas targeted arms had  $P \leq 7.3 \times 10^{-6}$  after Bonferroni correction).

Haplotype copy number analysis also demonstrated fragmentation of the targeted acentric chromosome fragment. If a chromosome from a micronucleus is cleaved into fragments that are distributed randomly between the granddaughter cells, the granddaughter cells will display a mirror-image DNA copy number pattern that oscillates between two levels<sup>28</sup>. In the simplest case, one homolog is replicated and segregated normally. However, fragmentation of the other homolog, which is severely under-replicated, can generate oscillations between zero copies and one copy in each daughter. The regions with one copy of the fragmented homolog will retain heterozygosity, leading to islands of heterozygosity interspersed within regions of LOH, one criterion for chromothripsis<sup>56</sup>. In five of 18 pairs, haplotype copy number analysis identified fragmentation (Figs. 2 and 3d and pairs 5.1, 5.7, 5.9, 6.1 and 6.3 in Extended Data Fig. 3). In eight of 18 pairs, there were clustered rearrangements on the targeted arm without detectable copy number oscillations, producing copy-neutral chromothripsis, whereby the

acentric segment was fragmented, but most fragments were inherited by only one granddaughter. Copy-neutral chromothripsis is frequently observed in human congenital disease, an observation that is likely explained by the strong selection against gene copy number imbalance during human development<sup>31</sup>.

Micronuclei that spontaneously lose their nuclear envelope integrity demonstrate defects in nuclear functions, including impaired DNA replication<sup>49,51</sup>. Accordingly, we and others previously hypothesized that the DNA ligation required to generate chromothripsis would only occur after mitosis and upon reincorporation of the micronuclear chromosome into a nucleus with functional DNA-end joining<sup>28,50,57</sup>. However, in many cases, micronuclear chromosomes fail to reincorporate into a primary nucleus and are again partitioned into micronuclei<sup>39,48</sup>. Furthermore, micronuclei that lack kinetochores, such as CRISPR-MN, are rarely reincorporated<sup>39,57</sup>.

We tested whether bulk chromosome reincorporation is required to generate chromothripsis by sequencing granddaughter cells with micronuclear chromosomes present in the cytoplasm. Surprisingly, of the 12 CRISPR-generated granddaughter pairs with a persistent micronucleus, eight showed chromothripsis involving the targeted chromosome arm (Figs. 2 and 3b, Extended Data Fig. 3 and Supplementary Videos 1 and 2). Chromothripsis in these samples could either be due to end joining of chromosome fragments in the cytoplasm, aberrant mitotic DNA synthesis<sup>29,58</sup> or ligation of a subset of chromosome fragments that might have been incorporated into the granddaughter nucleus after the division of a micronucleated cell. It was recently reported that spontaneously arising micronuclei in mouse embryonic cells often fail to be reincorporated, which was hypothesized to reflect a mechanism to prevent chromothripsis during embryo development<sup>57</sup>. However, our data establish that chromothripsis can occur even without visible reincorporation of the bulk of the micronuclear chromosome.

The CRISPR-MN results provide an important validation of our previous single-cell analysis showing that micronuclei can cause chromothripsis. In this prior work, we used random mitotic errors

to generate micronuclei and then inferred the identity of the micronuclear chromosome based on it being the only under-replicated chromosome<sup>28</sup>. The current results, in which the identity of the micronuclear chromosome was known a priori, confirm that the micronuclear chromosome is the one that undergoes chromothripsis. Moreover, in 16 of the 18 samples (all but pairs 5.5 and 5.8), haplotype-resolved DNA copy number analysis demonstrated that the micronuclear chromosome showed little detectable replication, again orthogonally validating our prior analysis<sup>28</sup>. Together with other recent work examining clonal cell populations after the induction of micronuclei, it is now clear that these structures generate chromothripsis at remarkably high rates<sup>34,50,52</sup>.

The above findings raise questions about whether cells with fragmented micronuclear chromosomes can undergo p53-dependent cell cycle arrest or cell death. We investigated this by using live-cell imaging to compare the division rates of control and micronucleated RPE-1 cells, with or without p53 knockdown. Cells were synchronized by serum starvation, and chr5q CRISPR-MN were induced after release from the G1 block (as shown in Extended Data Fig. 1a, bottom scheme). As expected, p53 loss did not affect the rate of micronucleation (Fig. 4a). As shown in lifetime plots (Fig. 4b), cells lacking micronuclei divided efficiently, with or without p53 knockdown. However, cells with micronuclei remained in interphase for ~1.5 h longer than controls, regardless of p53 status. Furthermore, of the micronucleated cells, 8% of cells with p53 knockdown failed to undergo cell division. By contrast, 54% of micronucleated p53-proficient cells failed to divide; however, the remaining 46% of these cells divided successfully. Therefore, intact p53 suppresses (by approximately twofold) but does not prohibit the division of micronucleated cells generated by CRISPR–Cas9 genome editing. These findings help explain data from human patients with congenital disease or cancer, in whom chromothripsis can frequently be observed without p53 loss<sup>30,31,36</sup>.

Because fragmented chromosomes or chromosome arms are inherently unstable, our findings also leave questions about how functional chromosomes are established after chromothripsis. Recent experiments showed that, after micronucleation or chromosome bridge formation, stable chromosomes with chromothripsis can indeed be established in clonal cell populations during long-term culture<sup>29,34,50,52,59–61</sup>. Stable chromosomes must have only one centromere, and the chromosome ends need to be capped with telomeres. After CRISPR–Cas9 cleavage, a chromothriptic acentric fragment can be stabilized by religation to the centric portion of the broken chromosome or by translocation to another chromosome (acrocentric chromosomes are a common translocation recipient<sup>29</sup>). In principle, de novo telomere addition could also stabilize chromothriptic chromosomes, but this occurs at low frequencies<sup>62</sup>. Therefore, our data, together with those of others<sup>31,36,52</sup>, indicate that at least some micronucleated cells can divide and expand into a

clonal population with stably propagating chromothriptic chromosomes, independently of p53 status.

**CRISPR–Cas9 editing generates chromosome bridges.** In addition to the formation of micronuclei, Cas9-generated DNA breaks can lead to dicentric chromosome bridge formation due to ligation of the centric fragments of Cas9-cleaved sister chromatids<sup>29,63</sup>. We recently identified a series of mechanistic steps through which chromosome bridges and micronuclei induce chromothripsis<sup>29</sup>.

In eight of 18 pairs (pairs 2.1–2.5, 5.1, 5.5, 5.9) derived from CRISPR-MN cells, we identified DNA copy number signatures of bridge formation, which added complexity to the copy number patterns resulting from missegregation of acentric fragments. All of these samples involved two cell divisions during which bridges could form (Extended Data Fig. 5a,b): bridges could form during the first division, when the micronucleus forms, or during the second division, when the micronucleated daughter cell divides. If the bridge forms and breaks in the first cell division, the two granddaughters descended from the micronucleated daughter will exhibit shared segmental gains or losses on the centromeric side of the Cas9 cut, as observed in six of 18 granddaughter pairs (Fig. 5a and Extended Data Fig. 5a, pairs 2.1–2.5, 5.5). If the bridge forms and breaks in the second cell division, the cells will display reciprocal gain and loss of DNA sequence on the centromeric side of the cut site, as observed in two of 18 granddaughter pairs (Fig. 5b and Extended Data Fig. 5b, pairs 5.1 and 5.9). Note that the megabase-scale copy number loss on the centromeric side of the cut, which we attribute to bridge breakage, cannot be explained by DNA resection from the cut site because resection is generally limited to several kilobases<sup>64</sup>. Moreover, resection cannot explain copy number gains on the centromeric side of the breaks. Instead, segmental gains are a sequence signature of the chromosome breakage–fusion–bridge cycle, a common mutational process in cancer that generates gene amplification<sup>29,60,65–67</sup>. Finally, the chromosome that was inferred to form a bridge shared the same haplotype as the micronuclear chromosome, in agreement with the expectation that dicentric bridges and acentric micronuclei can arise simultaneously from the same Cas9 cut.

Support for chromosome bridge formation also came from fluorescence imaging, which showed that 13.8% of cell divisions that formed micronuclei after CRISPR–Cas9 cutting also formed visibly detectable chromosome bridges after p53 knockdown (Extended Data Fig. 5c). Micronucleation physically separates the centric and acentric sides of the CRISPR-generated DNA break, preventing the acentric fragment from being used as a ligation partner for the centric fragment of the broken chromosome. We therefore hypothesized that the presence of a micronucleus would bias for ligation of the centric fragments of the broken chromosome to each other, leading to elevated rates of dicentric bridge formation in the granddaughters. Accordingly, the frequency of bridge formation was

**Fig. 5 | CRISPR–Cas9 genome editing induces chromosome bridge formation, adding to the genome complexity from micronuclei.** **a**, Evidence for a genome-editing-induced chromosome bridge in pair 5.5 (Extended Data Fig. 3). The scheme is as in Fig. 3. The CRISPR–Cas9 cut site is indicated by the dashed line, and relevant segments of chr5 are indicated by letters A–C. In the first division, the DNA break on sister chromatids results in the formation of a micronucleus with the acentric portions of chr5 (segment C). At the same time, the sister centric fragments (AB) fuse, generating a dicentric bridge concomitantly with the formation of the micronucleus. Asymmetric breakage of the bridge leads to the loss of the B segment from the bridge chromosome in the micronucleated daughter. The faded cell, inferred to contain two copies of the B segment, was not followed further. DNA copy number analysis indicated that, in this example, the chromosome fragments in the micronucleus underwent DNA replication. This region showed no detectable rearrangements. Note that the acentric fragments of chr5 were not reincorporated into a daughter primary nucleus in the second division. A (purple), p arm; B (black), centromere to cut site, inferred to reside in the bridge; C (teal), cut site to the telomere. Bottom, copy number and rearrangement plots of cells from above, as shown in Fig. 3. **b**, Bridge formation, micronucleation, chromosome fragmentation and chromothripsis from CRISPR–Cas9 genome editing in pair 5.1 (Extended Data Fig. 3). In this sample, both homologs were cleaved. The acentric arm of homolog 1 (blue allele) missegregates into a micronucleus in the first generation. The centric fragments of homolog 1 fuse, resulting in a dicentric bridge in the second cell division. After the second cell division, the cell that inherited the acentric fragment of homolog 2 (red) was found to have few SVs or copy number alterations, suggesting that it was partitioned into the primary nucleus as indicated in the scheme. By contrast, the acentric segments of homolog 1 were fragmented. Bottom, copy number and rearrangement plots of cells shown above, as in Fig. 3.



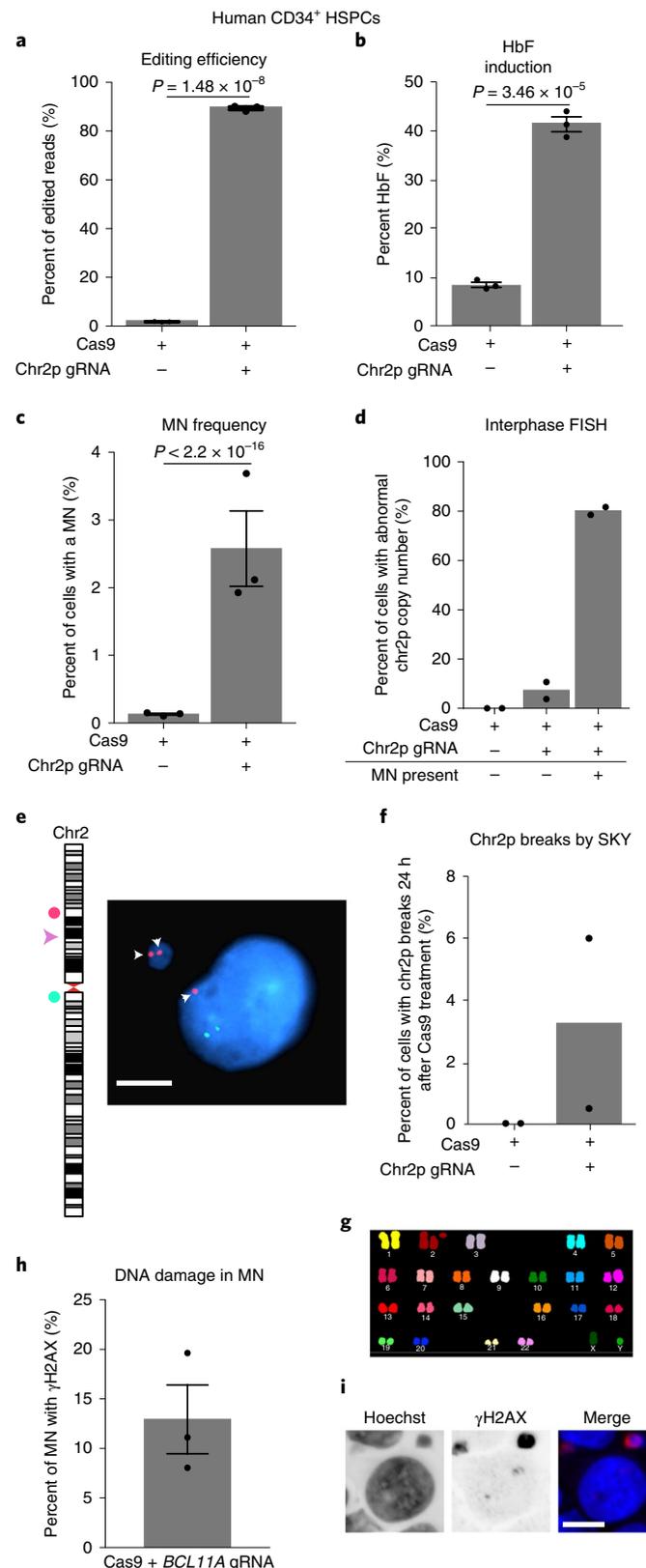
**Micronucleation from therapeutically relevant genome editing.** We next investigated our findings in the context of a therapeutic genome-editing approach to induce HbF for the treatment of  $\beta$ -hemoglobinopathies<sup>3–5</sup>. We electroporated unaffected donor human CD34<sup>+</sup> hematopoietic stem and progenitor cells (HSPCs) with a Cas9-gRNA RNP complex targeting the erythroid-specific *BCL11A* enhancer on chromosome 2p<sup>5,40</sup> (see the Supplementary Note for further discussion). The on-target editing efficiency was 89.4%, with a 4.9-fold increase in HbF levels in erythroid progeny compared to that in unedited cells (Fig. 6a,b). Similar to our observations in cell lines, the frequency of micronucleation increased 16-fold by 24 h after RNP transfection (Fig. 6c). Using FISH probes surrounding the RNP-induced DSB, we found that over 80% of cells containing micronuclei exhibited copy number alterations affecting the acentric fragment of the targeted chromosome (Fig. 6d,e). Moreover, 7.3% of cells without micronuclei exhibited abnormal numbers of this chromosome arm, indicating that cutting was followed by missegregation of the acentric fragment to the primary nucleus (Fig. 6d,e). Some of these *TP53*-intact cells were capable of entering mitosis with an unrepaired DSB, as 3.25% of analyzed cells had breaks in chr2p detected by spectral karyotyping (SKY) 24 h after Cas9 treatment (Fig. 6f,g). We also detected high-level phosphorylation of histone H2AX in 12.9% of micronuclei (Fig. 6h,i), indicating extensive DNA damage.

Non-adherent cells, such as HSPCs, are not amenable to our combined imaging and single-cell genomic analysis, precluding direct detection of chromothripsis. Using a PCR-based method, we did not detect LOH that would be suggestive of chromothripsis in hematopoietic colonies derived from genome-edited single-cell clones (Extended Data Fig. 6). However, micronucleus formation is expected to have occurred in only approximately 2.5% of analyzed clones, only a small fraction of which would be expected to undergo chromothripsis and remain viable. Thus, we have limited detection sensitivity for this event by analyzing hundreds or even thousands of clones. By contrast, patient-scale treatments are predicted to contain millions of micronucleated cells, meaning that low frequency micronucleation in this setting could still be consequential. Together, our results establish that HSPCs acquire hallmark

cytological features associated with chromothripsis following CRISPR-Cas9 genome editing.

## Discussion

Here we demonstrate that on-target CRISPR-Cas9 genome editing can induce the formation of micronuclei and chromosome



bridges in dividing cells, leading to copy number alterations of large chromosomal segments and chromothripsis. These findings provide potential mechanisms for the recently observed large chromosomal deletions or LOH surrounding on-target DSBs following genome editing in embryos<sup>23,25,55,68,69</sup>. Moreover, they raise a new potential concern for therapeutic genome-editing strategies that require DSB formation, because chromothripsis can drive the rapid acquisition of multiple cancer-causing mutations simultaneously. Chromothripsis can promote tumorigenesis in many tissue types, including ones relevant for therapeutic editing, even in cells with intact p53 (refs. <sup>32,36,37,70</sup>).

To date, malignant transformation or abnormal clonal cell expansion following genome editing has not been observed in animal studies, including non-human primate models<sup>71–73</sup>, nor in a relatively small number of human participants who participated in clinical trials and were monitored for relatively short periods of time<sup>6,27,74</sup>. In quantitative terms, the clinical risks associated with nuclease-based genome-editing therapies in human participants remain unclear. In particular, the rates of forming micronuclei or chromosome bridges followed by chromothripsis, as well as the frequency at which affected cells would expand, are unknown for any therapeutic application. These outcomes will likely differ according to the target locus and its efficiency of DNA repair, the density of oncogenes and tumor suppressors on the targeted arm and the target cell type. For example, the erythroid-specific enhancer of *BCL11A* might fortuitously be a favorable site for editing in HSPCs, because breaks in the enhancer that trigger large-scale alterations will disrupt the *BCL11A* gene. Based on prior work showing that single-copy loss of *BCL11A* impairs the ability of HSCs to repopulate the bone marrow<sup>75</sup>, it is expected that arm-level copy number losses and/or chromothripsis at this locus should be subject to strong negative selection.

Additionally, the rates of chromothripsis and its antecedent aberrations may be dependent on the specific editing protocols used, which differ greatly between individual research laboratories and also for large-scale therapeutic applications. For example, longer culture times or the use of HSC-expanding reagents before editing may drive cells into cycle and increase the probability of cell division with a broken chromosome. One important question for the field is whether quantifying micronucleus formation, chromosome bridges and chromothripsis during therapeutic protocol development with attention to minimizing these events will enhance safety outcomes.

Numerous studies have established that micronucleation or chromosome bridge formation can trigger chromothripsis followed by clonal expansion<sup>29,34,50,52,60,61,67,76</sup>. However, many chromothripsis events are expected to compromise cell fitness, leading to senescence or cell death. Nevertheless, even rare events that facilitate clonal expansion could be impactful in clinical-scale genome editing. Current protocols infuse approximately  $3\text{--}16 \times 10^6$  genome-edited CD34<sup>+</sup> cells per kg<sup>6</sup>, which, based on the micronucleation frequencies we observed in Fig. 6, include approximately  $10^6$  micronucleated cells. Nonetheless, the clinical outcome of such events is unknown. Ultimately, the potential for clinically deleterious chromothripsis caused by therapeutic genome editing must be assessed by long-term monitoring of individuals enrolled in clinical trials.

Although *TP53* loss likely promotes the survival of cells with chromothripsis<sup>33</sup>, chromothripsis can occur and persist in *TP53*-proficient cells, as indicated by several lines of evidence<sup>31,33,36</sup>. First, this study and prior literature provide evidence that an appreciable fraction of p53-proficient cells with CRISPR-generated micronuclei are capable of division, albeit at a somewhat reduced frequency. Second, patients with clonal chromothripsis causing congenital disease do not have loss of *TP53* (ref. <sup>31</sup>). Moreover, chromothripsis can occur and persist in p53-proficient HSCs. Here, chromothripsis followed by clonal expansion of a stem cell resulted in the spontaneous cure of WHIM (warts, hypogammaglobulinemia,

immunodeficiency and myelokathexis) syndrome via the loss of a dominant mutation<sup>77</sup>. Third, clonal expansion of p53-proficient malignant cells with chromothripsis is common; across all human tumors, the incidence of chromothripsis is only enriched 1.5-fold in those that contain inactivating mutations in *TP53* (ref. <sup>36</sup>). Finally, it was recently shown that CRISPR–Cas9 editing in p53-proficient human embryos can generate large, cut site-associated deletions that can be propagated to at least the cleavage stage<sup>23</sup>. Therefore, performing CRISPR–Cas9 genome editing in p53-proficient cells does not guarantee that clones with chromothripsis or other large-scale chromosomal alterations will be unable to develop.

Our results have several practical implications. Efficient Cas9-mediated HDR requires cells to be actively dividing, whereas NHEJ does not. Therefore, therapeutic genome editing via NHEJ in non-dividing cells, such as retinal photoreceptors<sup>78</sup>, should not produce micronuclei. Conversely, efforts to specifically edit dividing cells to enhance HDR rates, for example, by using a modified Cas9 with reduced activity in non-dividing cells<sup>79</sup>, may enhance micronucleation and its downstream consequences, such as chromothripsis. Accordingly, for therapeutic NHEJ editing of HSCs, it may be beneficial to maintain HSC quiescence. Some CD34<sup>+</sup> HSPC editing protocols appear to favor quiescent or G1 HSCs, whereas other protocols cause a higher frequency of editing in cycling or G2 HSCs, sometimes unintentionally<sup>5,8,72,79–81</sup>. Additionally, we suggest that, for NHEJ applications, fusion of Cas9 to a G1-specific chromatin licensing and DNA replication factor (CDT)1 segment could be employed to restrict editing to G1 cells<sup>79,82</sup>, thereby minimizing the probability of micronucleus formation and the deleterious downstream toxicities. Screening for micronucleation and/or chromothripsis in clinical protocols is expected to become more feasible as high-throughput and low-cost methods for single-cell genome sequencing are developed<sup>76</sup>. Finally, our study further motivates the development of genome-editing strategies that do not generate double-stranded DNA breaks<sup>2,11–13,83</sup>, which, in principle, should minimize the potential for inducing chromothripsis.

### Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at <https://doi.org/10.1038/s41588-021-00838-7>.

Received: 2 July 2020; Accepted: 8 March 2021;

Published online: 12 April 2021

### References

- Hsu, P. D., Lander, E. S. & Zhang, F. Development and applications of CRISPR–Cas9 for genome engineering. *Cell* **157**, 1262–1278 (2014).
- Doudna, J. A. The promise and challenge of therapeutic genome editing. *Nature* **578**, 229–236 (2020).
- Xu, J. et al. Correction of sickle cell disease in adult mice by interference with fetal hemoglobin silencing. *Science* **334**, 993–996 (2011).
- Orkin, S. H. & Bauer, D. E. Emerging genetic therapy for sickle cell disease. *Annu. Rev. Med.* **70**, 257–271 (2019).
- Wu, Y. et al. Highly efficient therapeutic gene editing of human hematopoietic stem cells. *Nat. Med.* **25**, 776–783 (2019).
- Frangoul, H. et al. CRISPR–Cas9 gene editing for sickle cell disease and  $\beta$ -thalassemia. *N. Engl. J. Med.* **384**, 252–260 (2021).
- Dever, D. P. et al. CRISPR/Cas9  $\beta$ -globin gene targeting in human hematopoietic stem cells. *Nature* **539**, 384–389 (2016).
- DeWitt, M. A. et al. Selection-free genome editing of the sickle mutation in human adult hematopoietic stem/progenitor cells. *Sci. Transl. Med.* **8**, 360ra134 (2016).
- Richardson, C. D. et al. CRISPR–Cas9 genome editing in human cells occurs via the Fanconi anemia pathway. *Nat. Genet.* **50**, 1132–1139 (2018).
- Romero, Z. et al. Editing the sickle cell disease mutation in human hematopoietic stem cells: comparison of endonucleases and homologous donor templates. *Mol. Ther.* **27**, 1389–1406 (2019).

11. Komor, A. C., Kim, Y. B., Packer, M. S., Zuris, J. A. & Liu, D. R. Programmable editing of a target base in genomic DNA without double-stranded DNA cleavage. *Nature* **533**, 420–424 (2016).
12. Gaudelli, N. M. et al. Programmable base editing of A•T to G•C in genomic DNA without DNA cleavage. *Nature* **551**, 464–471 (2017).
13. Anzalone, A. V. et al. Search-and-replace genome editing without double-strand breaks or donor DNA. *Nature* **576**, 149–157 (2019).
14. Kim, D., Luk, K., Wolfe, S. A. & Kim, J. S. Evaluating and enhancing target specificity of gene-editing nucleases and deaminases. *Annu. Rev. Biochem.* **88**, 191–220 (2019).
15. Haapaniemi, E., Botla, S., Persson, J., Schmierer, B. & Taipale, J. CRISPR–Cas9 genome editing induces a p53-mediated DNA damage response. *Nat. Med.* **24**, 927–930 (2018).
16. Ihry, R. J. et al. p53 inhibits CRISPR–Cas9 engineering in human pluripotent stem cells. *Nat. Med.* **24**, 939–946 (2018).
17. van den Berg, J. et al. A limited number of double-strand DNA breaks is sufficient to delay cell cycle progression. *Nucleic Acids Res.* **46**, 10132–10144 (2018).
18. Enache, O. M. et al. Cas9 activates the p53 pathway and selects for p53-inactivating mutations. *Nat. Genet.* **52**, 662–668 (2020).
19. Whitworth, K. M. et al. Use of the CRISPR/Cas9 system to produce genetically engineered pigs from in vitro-derived oocytes and embryos. *Biol. Reprod.* **91**, 78 (2014).
20. Shin, H. Y. et al. CRISPR/Cas9 targeting events cause complex deletions and insertions at 17 sites in the mouse genome. *Nat. Commun.* **8**, 15464 (2017).
21. Adikusuma, F. et al. Large deletions induced by Cas9 cleavage. *Nature* **560**, E8–E9 (2018).
22. Kosicki, M., Tomberg, K. & Bradley, A. Repair of double-strand breaks induced by CRISPR–Cas9 leads to large deletions and complex rearrangements. *Nat. Biotechnol.* **36**, 765–771 (2018).
23. Zuccaro, M. V. et al. Allele-specific chromosome removal after Cas9 cleavage in human embryos. *Cell* **183**, 1650–1664 (2020).
24. Weisheit, I. et al. Detection of deleterious on-target effects after HDR-mediated CRISPR editing. *Cell Rep.* **31**, 107689 (2020).
25. Alanis-Lobato, G. et al. Frequent loss-of-heterozygosity in CRISPR–Cas9-edited early human embryos. Preprint at *bioRxiv* <https://doi.org/10.1101/2020.06.05.135913> (2020).
26. Cullot, G. et al. CRISPR–Cas9 genome editing induces megabase-scale chromosomal truncations. *Nat. Commun.* **10**, 1136 (2019).
27. Stadtmayer, E. A. et al. CRISPR-engineered T cells in patients with refractory cancer. *Science* **367**, eaba7365 (2020).
28. Zhang, C. Z. et al. Chromothripsis from DNA damage in micronuclei. *Nature* **522**, 179–184 (2015).
29. Umbreit, N. T. et al. Mechanisms generating cancer genome complexity from a single cell division error. *Science* **368**, eaba0712 (2020).
30. Liu, P. et al. Chromosome catastrophes involve replication mechanisms generating complex genomic rearrangements. *Cell* **146**, 889–903 (2011).
31. Kloosterman, W. P. & Cuppen, E. Chromothripsis in congenital disorders and cancer: similarities and differences. *Curr. Opin. Cell Biol.* **25**, 341–348 (2013).
32. Stephens, P. J. et al. Massive genomic rearrangement acquired in a single catastrophic event during cancer development. *Cell* **144**, 27–40 (2011).
33. Rausch, T. et al. Genome sequencing of pediatric medulloblastoma links catastrophic DNA rearrangements with TP53 mutations. *Cell* **148**, 59–71 (2012).
34. Ly, P. et al. Chromosome segregation errors generate a diverse spectrum of simple and complex genomic rearrangements. *Nat. Genet.* **51**, 705–715 (2019).
35. Consortium, I. T. P.-C. Ao. W. G. Pan-cancer analysis of whole genomes. *Nature* **578**, 82–93 (2020).
36. Cortes-Ciriano, I. et al. Comprehensive analysis of chromothripsis in 2,658 human cancers using whole-genome sequencing. *Nat. Genet.* **52**, 331–341 (2020).
37. Leibowitz, M. L., Zhang, C. Z. & Pellman, D. Chromothripsis: a new mechanism for rapid karyotype evolution. *Annu. Rev. Genet.* **49**, 183–211 (2015).
38. Ly, P. & Cleveland, D. W. Rebuilding chromosomes after catastrophe: emerging mechanisms of chromothripsis. *Trends Cell Biol.* **27**, 917–930 (2017).
39. Soto, M., Garcia-Santisteban, I., Krenning, L., Medema, R. H. & Raaijmakers, J. A. Chromosomes trapped in micronuclei are liable to segregation errors. *J. Cell Sci.* **131**, jcs214742 (2018).
40. Canver, M. C. et al. *BCL11A* enhancer dissection by Cas9-mediated in situ saturating mutagenesis. *Nature* **527**, 192–197 (2015).
41. McKinley, K. L. & Cheeseman, I. M. Large-scale analysis of CRISPR/Cas9 cell-cycle knockouts reveals the diversity of p53-dependent responses to cell-cycle defects. *Dev. Cell* **40**, 405–420 (2017).
42. Brinkman, E. K. et al. Kinetics and fidelity of the repair of Cas9-induced double-strand DNA breaks. *Mol. Cell* **70**, 801–813 (2018).
43. Wu, J., Tang, B. & Tang, Y. Allele-specific genome targeting in the development of precision medicine. *Theranostics* **10**, 3118–3137 (2020).
44. Stark, J. M. & Jasin, M. Extensive loss of heterozygosity is suppressed during homologous repair of chromosomal breaks. *Mol. Cell Biol.* **23**, 733–743 (2003).
45. Rao, P. N., Johnson, R. T. & Sperling, K. *Premature Chromosome Condensation: Application in Basic, Clinical, and Mutation Research* xvi (Academic Press, 1982).
46. Hoffelder, D. R. et al. Resolution of anaphase bridges in cancer cells. *Chromosoma* **112**, 389–397 (2004).
47. Terradas, M., Martin, M., Tusell, L. & Genesca, A. DNA lesions sequestered in micronuclei induce a local defective-damage response. *DNA Repair* **8**, 1225–1234 (2009).
48. Crasta, K. et al. DNA breaks and chromosome pulverization from errors in mitosis. *Nature* **482**, 53–58 (2012).
49. Hatch, E. M., Fischer, A. H., Deerinck, T. J. & Hetzer, M. W. Catastrophic nuclear envelope collapse in cancer cell micronuclei. *Cell* **154**, 47–60 (2013).
50. Ly, P. et al. Selective Y centromere inactivation triggers chromosome shattering in micronuclei and repair by non-homologous end joining. *Nat. Cell Biol.* **19**, 68–75 (2017).
51. Liu, S. et al. Nuclear envelope assembly defects link mitotic errors to chromothripsis. *Nature* **561**, 551–555 (2018).
52. Kneissig, M. et al. Micronuclei-based model system reveals functional consequences of chromothripsis in human cells. *eLife* **8**, e50292 (2019).
53. Priestley, P. et al. Pan-cancer whole-genome analyses of metastatic solid tumours. *Nature* **575**, 210–216 (2019).
54. Ikeda, K. et al. Efficient scarless genome editing in human pluripotent stem cells. *Nat. Methods* **15**, 1045–1047 (2018).
55. Liang, D. et al. Frequent gene conversion in human embryos induced by double strand breaks. Preprint at *bioRxiv* <https://doi.org/10.1101/2020.06.19.162214> (2020).
56. Korbel, J. O. & Campbell, P. J. Criteria for inference of chromothripsis in cancer genomes. *Cell* **152**, 1226–1236 (2013).
57. Vazquez-Diez, C., Yamagata, K., Trivedi, S., Haverfield, J. & FitzHarris, G. Micronucleus formation causes perpetual unilateral chromosome inheritance in mouse embryos. *Proc. Natl Acad. Sci. USA* **113**, 626–631 (2016).
58. Minocherhomji, S. et al. Replication stress activates DNA repair synthesis in mitosis. *Nature* **528**, 286–290 (2015).
59. Cleal, K., Jones, R. E., Grimstead, J. W., Hendrickson, E. A. & Baird, D. M. Chromothripsis during telomere crisis is independent of NHEJ, and consistent with a replicative origin. *Genome Res.* **29**, 737–749 (2019).
60. Maciejowski, J., Li, Y., Bosco, N., Campbell, P. J. & de Lange, T. Chromothripsis and kataegis induced by telomere crisis. *Cell* **163**, 1641–1654 (2015).
61. Maciejowski, J. et al. APOBEC3-dependent kataegis and TREX1-driven chromothripsis during telomere crisis. *Nat. Genet.* **52**, 884–890 (2020).
62. Ribeyre, C. & Shore, D. Regulation of telomere addition at DNA double-strand breaks. *Chromosoma* **122**, 159–173 (2013).
63. Maciejowski, J. & de Lange, T. Telomeres in cancer: tumour suppression and genome instability. *Nat. Rev. Mol. Cell Biol.* **18**, 175–186 (2017).
64. Canela, A. et al. DNA breaks and end resection measured genome-wide by end sequencing. *Mol. Cell* **63**, 898–911 (2016).
65. McClintock, B. The stability of broken ends of chromosomes in *Zea mays*. *Genetics* **26**, 234–282 (1941).
66. Campbell, P. J. et al. The patterns and dynamics of genomic instability in metastatic pancreatic cancer. *Nature* **467**, 1109–1113 (2010).
67. Li, Y. et al. Constitutional and somatic rearrangement of chromosome 21 in acute lymphoblastic leukaemia. *Nature* **508**, 98–102 (2014).
68. Ma, H. et al. Correction of a pathogenic gene mutation in human embryos. *Nature* **548**, 413–419 (2017).
69. Egli, D. et al. Inter-homologue repair in fertilized human eggs? *Nature* **560**, E5–E7 (2018).
70. Finn, J. D. et al. A single administration of CRISPR/Cas9 lipid nanoparticles achieves robust and persistent in vivo genome editing. *Cell Rep.* **22**, 2227–2235 (2018).
71. Humbert, O., Peterson, C. W., Norgaard, Z. K., Radtke, S. & Kiem, H. P. A nonhuman primate transplantation model to evaluate hematopoietic stem cell gene editing strategies for  $\beta$ -hemoglobinopathies. *Mol. Ther. Methods Clin. Dev.* **8**, 75–86 (2018).
72. Humbert, O. et al. Therapeutically relevant engraftment of a CRISPR–Cas9-edited HSC-enriched population with HbF reactivation in nonhuman primates. *Sci. Transl. Med.* **11**, eaaw3768 (2019).
73. Demirci, S. et al. *BCL11A* enhancer-edited hematopoietic stem cells persist in rhesus monkeys without toxicity. *J. Clin. Invest.* **130**, 6677–6687 (2020).
74. Lu, Y. et al. Safety and feasibility of CRISPR-edited T cells in patients with refractory non-small-cell lung cancer. *Nat. Med.* **26**, 732–740 (2020).
75. Luc, S. et al. *Bcl11a* deficiency leads to hematopoietic stem cell defects with an aging-like phenotype. *Cell Rep.* **16**, 3181–3194 (2016).
76. Sanders, A. D. et al. Single-cell analysis of structural variations and complex rearrangements with tri-channel processing. *Nat. Biotechnol.* **38**, 343–354 (2020).
77. McDermott, D. H. et al. Chromothriptic cure of WHIM syndrome. *Cell* **160**, 686–699 (2015).

78. Maeder, M. L. et al. Development of a gene-editing approach to restore vision loss in Leber congenital amaurosis type 10. *Nat. Med.* **25**, 229–233 (2019).
79. Lomova, A. et al. Improving gene editing outcomes in human hematopoietic stem and progenitor cells by temporal control of DNA repair. *Stem Cells* **37**, 284–294 (2019).
80. Metais, J. Y. et al. Genome editing of *HBG1* and *HBG2* to induce fetal hemoglobin. *Blood Adv.* **3**, 3379–3392 (2019).
81. Weber, L. et al. Editing a  $\gamma$ -globin repressor binding site restores fetal hemoglobin synthesis and corrects the sickle cell disease phenotype. *Sci. Adv.* **6**, eaay9392 (2020).
82. Howden, S. E. et al. A Cas9 variant for efficient generation of indel-free knockin or gene-corrected human pluripotent stem cells. *Stem Cell Rep.* **7**, 508–517 (2016).
83. Rees, H. A. & Liu, D. R. Base editing: precision chemistry on the genome and transcriptome of living cells. *Nat. Rev. Genet.* **19**, 770–788 (2018).

**Publisher's note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

© The Author(s), under exclusive licence to Springer Nature America, Inc. 2021

## Methods

**Cell culture and generation of cell lines.** Cells were cultured at 37 °C with 5% CO<sub>2</sub>. Telomerase-immortalized RPE-1 (CRL-4000) and BJ-5ta foreskin fibroblasts (CRL-4001) from ATCC were grown in DMEM/F12 (1:1) (Gibco) with 10% FBS, 100 IU ml<sup>-1</sup> penicillin and 100 µg ml<sup>-1</sup> streptomycin. RPE-1 cells expressing Cas9 under a doxycycline-inducible promoter (gift from I. Cheeseman, Whitehead Institute<sup>41</sup>) were grown using tetracycline-free FBS (X&Y Cell Culture).

Mobilized peripheral blood CD34<sup>+</sup> cells were obtained from three de-identified healthy donors (Key Biologics, Lifeblood) and enriched by immunomagnetic bead selection using an AutoMACS instrument (Miltenyi Biotec). Cryopreserved CD34<sup>+</sup> cells were thawed and prestimulated for 48 h in StemSpan SFEM (Stemcell Technologies) supplemented with 100 ng ml<sup>-1</sup> SCF, FLT3-L and TPO (R&D Systems). CD34<sup>+</sup> cells were maintained in complete SFEM for 1–5 d after electroporation or were subject to erythroid differentiation. Erythroid differentiation was induced using a two-phase protocol. Phase 1 (days 0–5) was carried out using IMDM (Thermo) supplemented with 20% FBS, 1% penicillin–streptomycin, 20 ng ml<sup>-1</sup> SCF, 1 ng ml<sup>-1</sup> IL-3 (R&D Systems) and 2 U ml<sup>-1</sup> EPO (Amgen). Phase 2 (days 5–10) was carried out using IMDM supplemented with 20% FBS, 1% penicillin–streptomycin, 2 U ml<sup>-1</sup> EPO and 0.2 mg ml<sup>-1</sup> holo-transferrin (MilliporeSigma).

RPE-1 cells expressing H2B–eGFP, RFP–H2B, TDRFP–NLS and eGFP–BAF were created by transduction with lentivirus or retrovirus vectors containing the genes of interest as previously described<sup>29</sup>.

**Cas9 RNP transfection in immortalized cell lines.** sgRNA was synthesized with the TrueGuide Synthetic gRNA platform (Thermo Fisher Scientific) as chemically modified custom oligonucleotides, in which the final three bases on both the 5' and 3' end of the sgRNA are 2'-O-methyl bases, and the linkages between them are phosphorothioates, to increase editing efficiency and protect from nuclease degradation. Their sequences are listed in Supplementary Table 1.

RNP complexes were prepared following a modified version of the manufacturer's suggested protocol. Briefly, gRNA–Cas9 complexes were formed by incubating 250 ng of the gRNA with 1 µg purified Cas9 protein (TrueCut Cas9 Protein version 2, Invitrogen) in Opti-MEM (Invitrogen). Cells were seeded in 12-well dishes, on #1.5 glass coverslips (fixed imaging experiments) or in 35-mm gridded ibiTreat dishes (ibidi) (Look-Seq), were synchronized by serum starvation in medium containing 0.1% FBS for 24 h when applicable and subjected to Cas9 RNP transfection 22 h after release from the block. Transfection of RNP complexes was performed using the Lipofectamine CRISPRMAX Reagent (Invitrogen). Cells were fixed 46 h after release from the block to measure the percentage of cells with micronuclei and 35–40 h after release for FISH experiments.

**Editing of CD34<sup>+</sup> HSPCs.** Purified recombinant Cas9 protein was obtained from Berkeley Macrolabs. Chemically modified sgRNA was synthesized by Synthego with 2'-O-methyl 3'-phosphorothioate modifications between the three terminal nucleotides at both the 5' and 3' ends. RNP complexes were formed by incubating Cas9 (32 pmol per 100,000 cells) with sgRNA at a 1:2 molar ratio. CD34<sup>+</sup> cells were washed with PBS, resuspended in the buffer provided by the manufacturer for primary cells, mixed with RNPs and electroporated using program 24 of the Neon Transfection System (Thermo Fisher Scientific). Editing efficiency was determined as described previously<sup>30,34</sup> using primers in Supplementary Table 3.

**Doxycycline-inducible Cas9 treatments.** Cas9 expression in the doxycycline-inducible system was validated by western blotting with an antibody against Cas9 (Cell Signaling Technology, 14697S, clone 7A9-3A3, 1:1,000) and an  $\alpha$ -tubulin loading control (Sigma, T9026, clone DM1A, 1:10,000). Cells were trypsinized, pelleted, washed with PBS and lysed at 4 °C in RIPA Buffer (Boston Bioproducts) supplemented with cOmplete Mini Protease Inhibitor (MilliporeSigma), PhosSTOP protease inhibitor (Roche), 1 mM dithiothreitol and 1 mM phenylmethylsulfonyl fluoride. Samples were centrifuged at 17,000g for 30 min at 4 °C, and the supernatant was run on a 10% Mini-PROTEAN TGX precast polyacrylamide gel (Bio-Rad). Protein was transferred to a PVDF membrane using the iBlot 2 device (Life Technologies). The membrane was blocked with 5% milk in TBST for 1 h at room temperature, followed by incubation with primary antibodies overnight at 4 °C. Three washes were performed with TBST, followed by a 1-h incubation with secondary antibody (ECL, HRP linked, GE Healthcare) and another series of washes. Membranes were imaged using an ImageQuant LAS 4000 (GE Healthcare).

DNA encoding sgRNA species (Supplementary Table 1) was cloned into pLenti-Guide-Puro (Addgene) and delivered to hTERT-immortalized RPE-1 cells carrying a tetracycline-inducible promoter by lentiviral transduction, as described above. Starting 24 h after transduction, the population of cells was selected for 1 week with 12 µg ml<sup>-1</sup> puromycin. Cells were treated with 40 nM ON-TARGETplus siRNA SMARTpool L-003329-00-0050 (Dharmacon) or the non-targeting control siRNA D-001810-10-05 (Dharmacon) to deplete p53 in experiments using the doxycycline-inducible system. siRNA was transfected using Lipofectamine 3000 (Life Technologies) according to the manufacturer's instructions. p53 knockdown was validated in select experiments by western blotting as described above, with antibodies against p53 (Cell Signaling Technology, 48818S, clone DO-7, 1:1,000)

and a GAPDH loading control (Abcam, ab9485, 1:5,000). Six hours after siRNA treatment, cells were synchronized by serum starvation in medium containing 0.1% FBS. Twenty-four hours later, cells were released from the block into complete medium containing 0.5 µg ml<sup>-1</sup> doxycycline, which was washed out five times 15 h later. When an MPS1 inhibitor (1 µM NMS-P715, EMD Millipore) was used to produce micronuclei from mitotic errors, cells were released without doxycycline, and MPS1 inhibitor was added ~18 h after release, before the next cell division. The MPS1 inhibitor was washed out by five washes with complete medium 20 h later. Cells were then transferred to coverslips for immunofluorescence or FISH experiments or to dishes for live-imaging experiments, or were plated for Look-Seq.

**Measurement of editing efficiency in immortalized cells.** DNA was isolated 48 h after RNP transfection or doxycycline washout using the PureLink Genomic DNA kit (Invitrogen) according to the manufacturer's instructions. PCR was performed using Q5 High-Fidelity DNA Polymerase for 35 cycles after an initial 30-s denaturation step at 98 °C (5 s at 98 °C, 10 s at 60 °C and 15 s at 72 °C for doxycycline-treated samples and 10 s at 98 °C, 15 s at 55 °C and 20 s at 72 °C for RNP-treated RPE-1 cells) and a 2-min final extension at 72 °C with 2.5 mM dNTPs, 10 µM forward and reverse primers, 10 µl Q5 Reaction Buffer and at least 20 ng genomic DNA. Agarose gels (2%) were run in TAE buffer on an aliquot of PCR product to ensure production of a unique PCR product of the appropriate size. Primer pairs used are listed in Supplementary Table 3. PCR products were purified using the QIAquick PCR Purification kit (Qiagen) and diluted to 20 ng µl<sup>-1</sup>, as measured by the Qubit dsDNA HS Assay kit (Invitrogen). Samples were then submitted to Genewiz for Amplicon-EZ sequencing or to the Center for Computational and Integrative Biology DNA core facility of Massachusetts General Hospital for amplicon next-generation sequencing. Analysis of the raw data for detecting CRISPR variants from next-generation sequencing reads was performed with the algorithms from the Genewiz Amplicon-EZ service or the Massachusetts General Hospital core, and meta-analysis to estimate the percentage of editing efficiency was performed manually by the users.

**Fluorescence in situ hybridization of RPE-1 and BJ cells.** FISH probes used in this study were as follows: Chr1p Subtelomere (Cytocell, LPT 01PG-A), Chr1 Centromere (Abbott Laboratories), Chr2p Subtelomere (Cytocell, LPT 02PG-A), Chr2 Centromere (Cytocell, LPE 002R-A), Chr4q Subtelomere (Cytocell, LPT 04QG-A), Chr4 Centromere (Cytocell, LPE 004R-A), Chr5q Subtelomere (Cytocell, LPT 05QG/R-A), Chr5 Centromere (Cytocell, LPE 005R-A), Chr6q Subtelomere (Cytocell, LPT 06QR-A), ChrXq Subtelomere (Cytocell, LPT XYQG-A) and ChrXq Centromere (Cytocell, LPE 0XR-A)

Cells were seeded on #1.5 glass coverslips and were transfected with Cas9 RNP, as described. Cells were fixed ~35 h after release from starvation medium, in the first interphase in which cells formed micronuclei. Before fixation, coverslips were swelled in prewarmed 75 mM KCl and incubated at 37 °C for 20 min. Fixation was performed by dropwise addition of 0.5 volumes of Carnoy's solution at –20 °C (3:1 methanol:acetic acid). After 5 min, the solution was exchanged for fresh Carnoy's solution at –20 °C two more times. Coverslips were then air dried for 48 h. Coverslips were warmed in 2× SSC with 0.5% NP-40 at 37 °C for 30 min and then dehydrated in ice-cold solutions of 70%, 85% and 100% ethanol for 2 min each. Subtelomere-specific or centromeric probes were diluted 1:5–1:10 in hybridization buffer B (Cytocell, purchased by Rainbow Scientific, HB1000L) and applied to the samples after air drying. Coverslips were then sealed onto glass slides with rubber cement, denatured at 73 °C for 2 min and hybridized in a humidified chamber at 37 °C for 2 d. After hybridization, coverslips were floated from the slides in a PBD solution composed of 0.1 M Na<sub>2</sub>HPO<sub>4</sub>, 0.1 M NaH<sub>2</sub>PO<sub>4</sub> and 0.1% NP-40. Samples were washed at 72 °C with 0.5× SSC containing 0.1% sodium dodecyl sulfate for 5 min and then transferred to 2.5 µg ml<sup>-1</sup> Hoechst 33342 (Life Technologies) dissolved in PBD solution for 10 min at room temperature. Coverslips were then air dried and mounted on clean glass coverslips using ProLong Gold Antifade (Life Technologies) or VECTASHIELD Antifade Mounting Medium with 4,6-diamidino-2-phenylindole (DAPI) (H-1200, Vector Laboratories). Denaturation and wash steps were performed using the HybEZ II Hybridization system (ACD). Samples were imaged by confocal microscopy as described below.

**Fluorescence in situ hybridization of CD34<sup>+</sup> HSPCs.** For detection of chr2 abnormalities, two BAC clones were used as probes, one located distal to the *BCL11A* locus (2p21) as the telomeric marker and a clone from 2q11.2 as the centromeric marker. The telomeric BAC DNA (hg19 chr2, 47,612,794–47,782,780) was labeled with a red dUTP (AF594, Molecular Probes) by nick translation, and the centromeric BAC DNA (hg19 chr2, 99,969,552–100,200,667) was labeled with a green dUTP (AF488, Molecular Probes). Both labeled probes were combined with sheared human DNA and hybridized in a solution containing 50% formamide, 10% dextran sulfate and 2× SSC. The cells were then stained with DAPI and imaged using a Nikon Eclipse 80i with a ×100, 1.40-NA Plan Apo objective and CytoVision version 7.7 (Leica Biosystems).

**Spectral karyotyping of CD34<sup>+</sup> HSPCs.** One day after electroporation, CD34<sup>+</sup> cells were collected by routine cytogenetic methods after a 4-h incubation with colcemid. Commercially prepared SKY probes and protocols from Applied Spectral

Imaging were used for the hybridization and detection steps. Mitotic spreads were analyzed, and chr2p breaks were quantified.

**Indirect immunofluorescence microscopy.** Immunofluorescence was performed as described previously<sup>28,51</sup>. Primary antibodies were anti- $\gamma$ H2AX (1:400–1:500, MilliporeSigma, 05-636-I, clone JBW301) and anti-LBR (1:100, Abcam, ab32535, clone E398L). Secondary antibodies were Alexa Fluor 488 (A11029), Alexa Fluor 568 (A11031) and Alexa Fluor 647 (A21236) (1:1,000, Life Technologies). EdU was added 5 h before fixation.

Confocal images were collected using a Nikon Ti-E inverted microscope with a Yokogawa CSU-22 spinning disk head with the Borealis modification. Z stacks were collected for nine images at a spacing of 0.4–0.6  $\mu$ m using a CoolSNAP HQ2 CCD camera (Photometrics) and a  $\times 60$ , 1.40-NA Plan Apo oil-immersion objective (Nikon) using MetaMorph software 7.10.2.240 (Molecular Devices). Alternatively, a Ti2 inverted microscope fitted with a CSU-W1 spinning disk system (Nikon) was used. Z stacks were collected to cover the whole volume of cells at a spacing of 0.4–0.6  $\mu$ m using a Zyla 4.2 sCMOS camera (Andor) and a  $\times 60$ , 1.40-NA Plan Apo  $\lambda$  oil objective and NIS-Elements 5.11.03 AR software (Nikon).

**Indirect immunofluorescence microscopy of CD34<sup>+</sup> HSPCs.** CD34<sup>+</sup> cells ( $1.5 \times 10^5$  cells) were deposited on glass slides using a Cytospin 4 cytocentrifuge (Thermo Scientific) for 5 min at 800 r.p.m. Fixation and indirect immunofluorescence were performed as described above. Images were acquired with single-plane widefield illumination on a Nikon Eclipse Ni microscope using Nikon NIS-Elements software and a  $\times 40$ , 0.75-NA Plan Fluor objective. Antibodies are listed above.

**Fetal hemoglobin quantification.** HbF quantification by ion-exchange high-performance liquid chromatography was performed and analyzed using LabSolutions version 5.81 SP1 software as previously described<sup>50</sup>.

**Live-cell imaging.** Live-cell imaging was performed as described previously with minor modifications<sup>28,51</sup>, which are elaborated upon in the Supplementary Note.

**Image analysis.** NIS-Elements AR 5.20.00 (Nikon) was used to analyze live-cell imaging videos, and ImageJ (version 1.51) was used to create annotated videos. Quantitative image analysis for fixed-cell experiments was performed using ImageJ. Briefly, nuclear segmentation was performed on maximum intensity projections based on Hoechst staining. This segmentation was used as a mask, and, if necessary, the mask was manually refined by the ‘Watershed’, ‘Erode’ or ‘Draw’ functions. These masks were then applied to maximum intensity projections of other channels to measure the mean fluorescence intensity of the channel. Background subtraction was performed by measuring the mean fluorescence intensity of a square region near the primary nucleus and micronucleus. Analysis of micronucleus formation and DNA damage in CD34<sup>+</sup> HSPCs was performed qualitatively by sample-blinded individuals for the presence or absence of a single large focus of  $\gamma$ H2AX signal covering most of the micronucleus. Graphical data and statistical analyses were calculated using GraphPad Prism 7.0d (GraphPad Software) and R 3.4.2.

**Look-Seq.** Look-Seq was performed as previously described<sup>28,29</sup> and is discussed in more detail in the Supplementary Note.

**Quality assessment of sequencing libraries.** Library quality assessment was performed as described previously<sup>28,29</sup>. Briefly, before deep sequencing, libraries were subjected to low-pass sequencing (genome coverage of  $\sim 0.1\times$ ) on the MiSeq platform (Illumina). From this, we visually assessed library quality by the uniformity of whole-genome amplification in 10-Mb bins. Low-pass sequencing was used to assess haplotype-specific DNA copy number to identify cells with missegregation of the targeted chromosome. Libraries that passed quality checks were subjected to deep sequencing (genome coverage, 8–47 $\times$ ; mean coverage, 19 $\times$ ; median coverage, 11 $\times$ ) on the NovaSeq 6000 (Illumina).

**Sequencing analysis and allelic copy number calculation.** Sequencing data processing and haplotype-specific DNA copy number analysis were carried out using the same bioinformatic pipeline and computational workflow as described previously, including alignment by BWA 0.7.12-r1039 and duplicate marking by Picard software suite version 2.2.4 (refs. 28,29).

**Structural variant detection in single-cell genomes.** SVs were detected using our previously described pipeline<sup>28,29</sup> and are further described in the Supplementary Note.

**Poisson tests and the definition of fragmentation.** We performed two-sample one-sided Poisson tests to determine whether SVs were enriched on the CRISPR-targeted segment compared to the background rate of SVs across the genome. We calculated this statistic relative to the depth of sequencing coverage in the targeted region (as a control, we also performed a similar test for enrichment on all non-targeted chromosome arms, Supplementary Table 2) as follows. For each pair of granddaughter cells (a, b), we calculated the fraction of reads ( $r_a$ ,  $r_b$ ) mapping to the genomic interval telomeric to the CRISPR cut in each sample. The null

hypothesis is that breakpoints are drawn according to a Poisson process in which the expected density of breakpoints in a genomic interval is proportional to the fraction of sequence reads mapping to that interval. We computed the conditional probability of observing at least as many breakpoints as were detected on the targeted segment, given the total number of breakpoints genome wide. The test was implemented as a one-sided, one-sample binomial test  $P(X \geq k)$ , where  $n$  = total breakpoints observed across the pair,  $p = (r_a + r_b) \times 2^{-1}$ ,  $X$  ~ Binomial( $n, p$ ), and  $k$  = breakpoints observed on the targeted segment. We note that this test yielded the same outcome, whether we looked for enrichment of rearrangements on the entire targeted chromosome arm (Supplementary Table 2) or whether we confined the analysis to the sequence telomeric to the cut site (Extended Data Fig. 4).

Segments were considered fragmented by visual inspection of copy number plots for the presence of stretches of allele-specific reciprocal copy number change between daughter cells or many rearrangements on the targeted arm in both daughters. For Fig. 2, individual cells were marked as having ‘clustered rearrangements’ if there was significant enrichment by the Poisson test, the daughter did not lose the missegregated allele, and there was at least one rearrangement found in the cell in cases of fragmentation.

**Reporting Summary.** Further information on research design is available in the Nature Research Reporting Summary linked to this article.

## Data availability

Whole images of cells presented in Figs. 1f and 6e,i and Extended Data Fig. 2e and filtered SV calls are available at <https://doi.org/10.5281/zenodo.4533299>. Original images and videos that contribute to analyses in Figs. 1e, 4 and 6c,h, Extended Data Figs. 1d, 2 and 5c and Look-Seq experiments (Figs. 2, 3 and 5 and Extended Data Fig. 3) were not published due to constraints of file size but are available upon reasonable request. CD34<sup>+</sup> HSPC-derived FISH and SKY images and analyses (Fig. 6d–g) were generated by the St. Jude Cytogenetic Shared Resource Laboratory and derived data supporting the findings in Fig. 6d–g are available from the corresponding authors upon request. Sequence read data are available in the Sequencing Read Archive under BioProject PRJNA676146. Source data are provided with this paper.

## Code availability

Scripts used for sequencing data analysis (allelic copy number calculation and rearrangement detection) and for image analyses performed in Extended Data Fig. 2 are available at [https://github.com/chengzhongzhangDFCI/CN\\_and\\_SV](https://github.com/chengzhongzhangDFCI/CN_and_SV).

## References

84. Connelly, J. P. & Pruett-Miller, S. M. CRIS.py: a versatile and high-throughput analysis program for CRISPR-based genome editing. *Sci. Rep.* **9**, 4194 (2019).

## Acknowledgements

We are grateful to R. Jaenisch, S. Markoulaki, A. Spektor and members of the Pellman and Weiss laboratories for discussions, I. Cheeseman for the doxycycline-inducible Cas9 RPE-1 cell line, D. Cullins for assistance with single-cell sorting of HSPCs and N. Mynhier for help with data visualization. This work was supported by the National Science Foundation Graduate Research Fellowship under grant no. DGE1144152 (M.L.L.), the National Cancer Institute career transition award K22CA216319 (C.-Z.Z.), the Howard Hughes Medical Institute (D.P.), NIH grants R01 CA213404 (D.P.), F32 DK118822 (P.A.D.) and P01 HL053749 (M.J.W.), the Assisi Foundation (M.J.W.), the Doris Duke Charitable Foundation (M.J.W.) and St. Jude/ALSAC. The St. Jude Cytogenetic and Center for Advanced Genome Engineering Shared Resource Laboratories are supported by NIH grant P30 CA21765 and by St. Jude/ALSAC. We thank the members of the St. Jude Children’s Research Hospital Center for Advanced Genome Engineering and Cytogenetics core facilities.

## Author contributions

M.L.L., S.P. and D.P. conceived the project; M.L.L., S.P., D.P. and P.A.D. designed the experiments; M.L.L. and S.P. performed the experiments, except for the human CD34<sup>+</sup> HSPC experiments that were carried out by P.A.D. and Y.Y.; L.S. performed library preparation and sequencing for RPE-1 cells. M.L.L., S.P., L.J.B. and C.-Z.Z. analyzed data; C.-Z.Z. and L.J.B. developed and performed the computational analysis; M.L.L., S.P. and D.P. wrote the manuscript; all authors discussed the results and commented on the manuscript; M.J.W. supervised the human blood cell experiments; P.A.D. and L.J.B. made equal contributions to this work. D.P. supervised the study.

## Competing interests

M.J.W. is a consultant for Rubius Inc., Cellarity Inc., Beam Therapeutics and Esperion; none of the consulting work is relevant to the current project. C.-Z.Z. is a scientific adviser for Pillar BioSciences. D.P. is a member of the Volastra Therapeutics scientific advisory board. All other authors declare no competing interests.

## Additional information

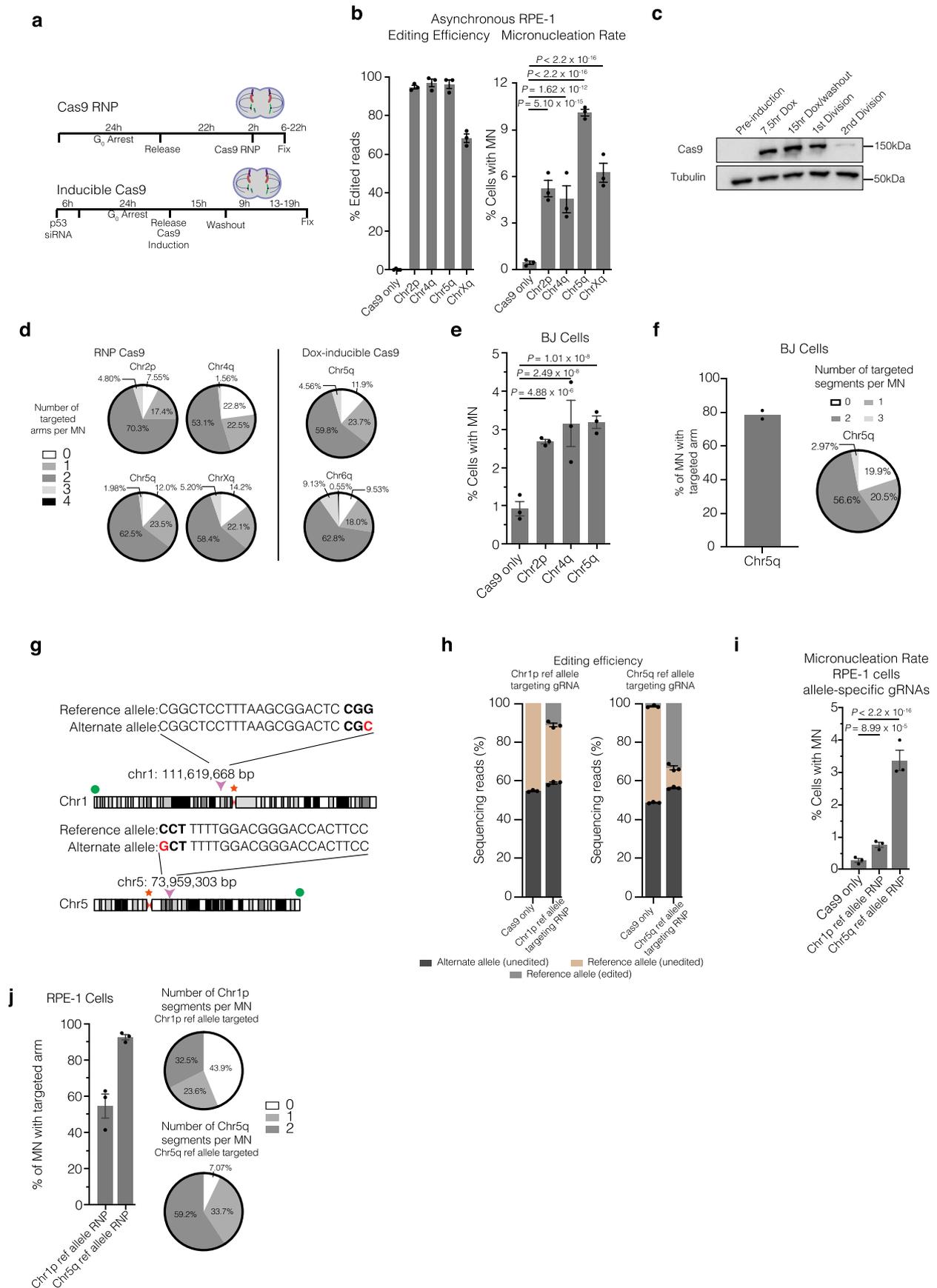
Extended data is available for this paper at <https://doi.org/10.1038/s41588-021-00838-7>.

**Supplementary information** The online version contains supplementary material available at <https://doi.org/10.1038/s41588-021-00838-7>.

**Correspondence and requests for materials** should be addressed to M.J.W. or D.P.

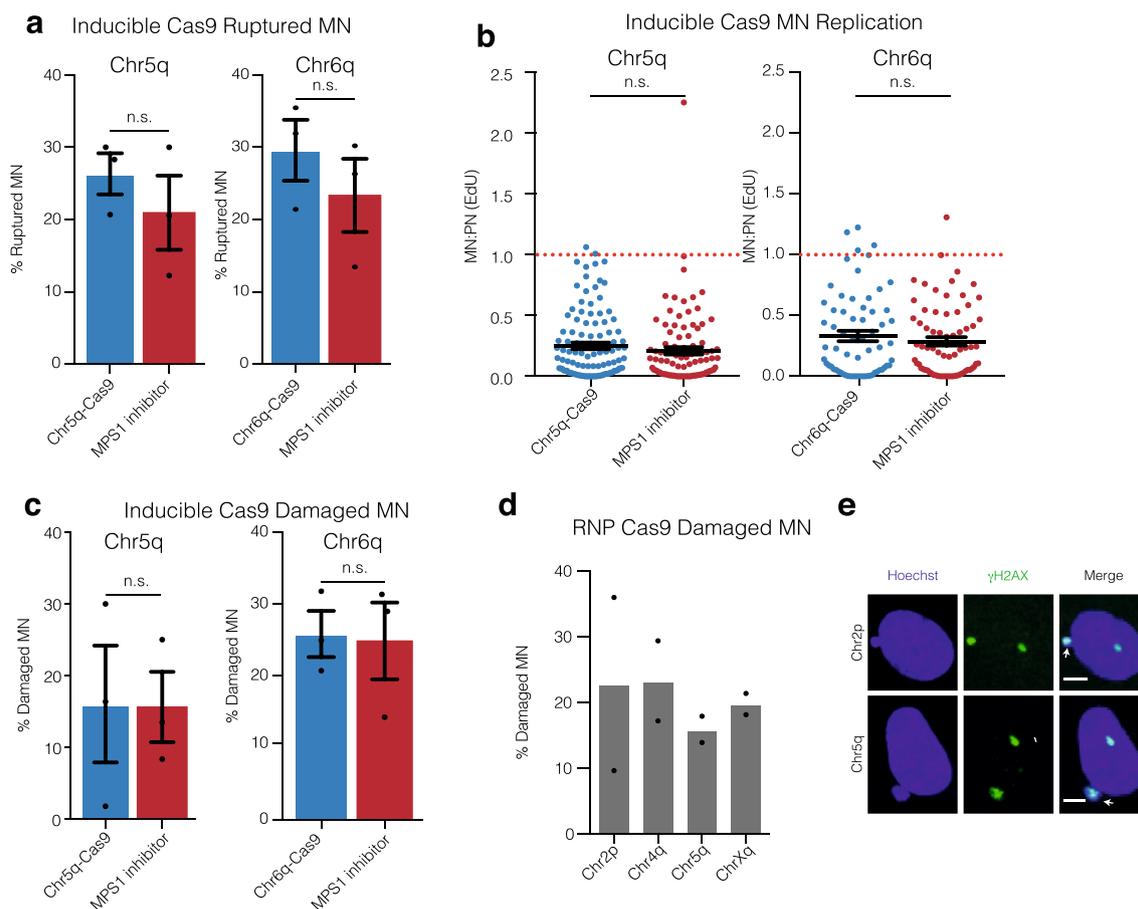
**Peer review information** *Nature Genetics* thanks Fyodor Urnov and the other, anonymous, reviewers for their contribution to the peer review of this work.

**Reprints and permissions information** is available at [www.nature.com/reprints](http://www.nature.com/reprints).



Extended Data Fig. 1 | See next page for caption.

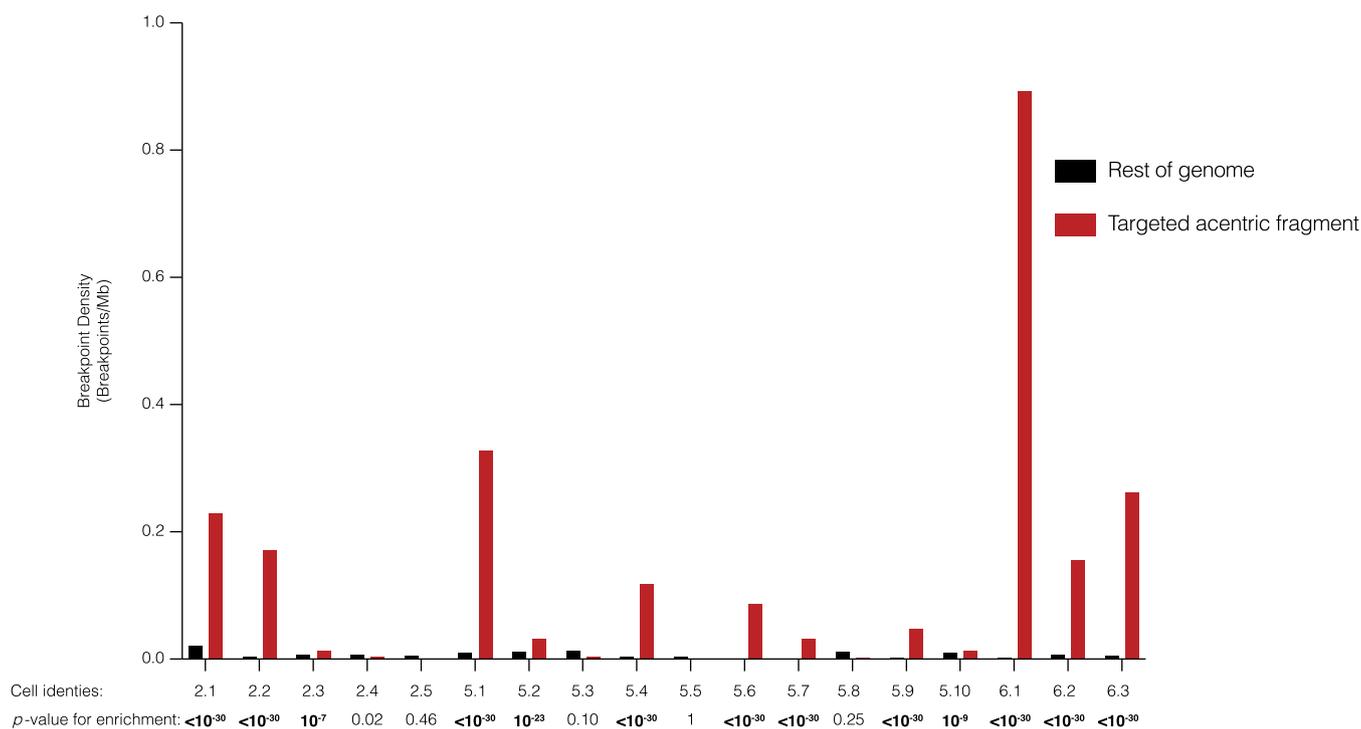
**Extended Data Fig. 1 | Micronucleus formation after CRISPR-Cas9 genome editing in several cell lines. a**, Experimental schemes. Top, RNP transfection. Bottom, inducible Cas9 expression with constitutive expression of gRNAs (RPE-1 cells). G<sub>0</sub> cell cycle block was by serum starvation. Dividing cell cartoon represents approximate time of cell division. **b**, Micronucleation frequency after CRISPR-Cas9 RNP transfection in asynchronous cells. Left, editing efficiency. Right, frequency of micronucleation for these RNP transfections. ( $n = 3$  experiments with 1339, 1231, 1220, 1236, and 1237 cells scored, left to right). Error bars: mean  $\pm$  SEM, two-tailed Fisher's exact test. **c**, Representative Western blot of Cas9 levels at the indicated times after induction with doxycycline. 1<sup>st</sup> division is 24 hours after serum starve release, and 2<sup>nd</sup> division is 48 hours after release. Dox is doxycycline.  $n = 3$  experiments. **d**, Number of cleaved chromosome arms contained within micronuclei for the indicated gRNAs and Cas9 expression strategies (RPE-1 cells) determined by FISH to detect the centromere (RNP Cas9) and/or subtelomere of the targeted chromosome (RNP Cas9 and Dox-inducible Cas9). RNP Cas9: for 2p:  $n = 2$  experiments with 64 micronuclei counted, 4q:  $n = 2$  experiments with 58 micronuclei counted, 5q:  $n = 3$  experiments with 116 micronuclei counted, Xq:  $n = 2$  experiments with 96 micronuclei counted; (Dox) Doxycycline-inducible Cas9;  $n = 3$  experiments; 168 micronuclei counted per condition. **e**, Frequency of micronucleation in synchronized BJ fibroblasts after RNP transfection; ( $n = 3$  experiments with 2378, 2487, 2423, 2714 cells, left to right). Error bars: mean  $\pm$  SEM, two-tailed Fisher's exact test. **f**, Left, percentage of MN containing the targeted chromosome arm for the chr5q-targeting gRNA in BJ cells, as counted using subtelomeric FISH probes. Right, the number of chr5q chromosome arms per micronucleus in BJ cells, determined from centromere-specific and subtelomere-specific FISH probes. ( $n = 2$  experiments counting 109 micronuclei). **g**, Cut site and FISH probe locations for allele-specific gRNA experiments. PAM sequence is in bold, with the polymorphic site in red. Orange star is the centromere FISH probe and green circle the subtelomere FISH probe. gRNAs target the reference allele. **h**, Editing efficiency after Cas9/gRNA RNP transfection with allele-specific gRNAs. ( $n = 3$  experiments). Error bars: mean  $\pm$  SEM. **i**, Micronucleation frequency from samples in (**h**). ( $n = 3$  experiments with 7066, 7041, 7253, cells scored for micronucleation, left to right). Error bars: mean  $\pm$  SEM, two-tailed Fisher's exact test. **(j)** Left, percentage of MN containing the targeted chromosome arm for the allele-specific gRNAs, as scored using subtelomeric FISH probes. Right, pie chart of the number of targeted arms per micronucleus in RPE-1 cells, as determined from subtelomere-specific FISH probes. ( $n = 3$  experiments counting 123 and 184 micronuclei, left to right) Error bars: mean  $\pm$  SEM.



**Extended Data Fig. 2 | DNA damage, nuclear envelope rupture and reduced DNA replication in CRISPR-MN.** **a**, Nuclear envelope rupture frequency for CRISPR-MN as compared to spindle checkpoint inhibitor-induced micronuclei. Rupture was defined as an MN:PN ratio of lamin B receptor (LBR)<sup>49</sup> intensity  $> 3$  ( $n = 3$  experiments with 201 and 167 micronuclei analyzed for chr5q,  $p = 0.2216$  and 165 and 152 micronuclei counted for chr6q,  $p = 0.2034$ ). Error bars: mean  $\pm$  SEM, two-tailed Fisher's exact test. **b**, DNA replication defect of CRISPR-MN. EdU fluorescence intensity was measured after a 5-hour pulse. Only cells that had entered S-phase were scored ( $>150$  a.u. EdU signal in primary nucleus). Dotted red line is normal levels of DNA replication in the micronucleus relative to the primary nucleus ( $n = 3$  experiments with 109 and 97 micronucleated cells analyzed for chr5q,  $p = 0.1698$  and 65 and 73 micronucleated cells analyzed for chr6q,  $p = 0.6948$ ). Error bars: mean  $\pm$  SEM; two-tailed Mann-Whitney U-test. **c**, CRISPR-MN acquire DNA damage. Shown is the frequency of  $\gamma$ H2AX positive micronuclei ( $> 3$  standard deviations above mean signal in primary nuclei) for the indicated gRNAs using the inducible Cas9 system ( $n = 3$  experiments with 203 and 184 micronucleated cells analyzed for chr5q,  $p = 0.6870$  and 175 and 169 cells analyzed for chr6q,  $p = 0.8053$ ). Error bars: mean  $\pm$  SEM, two-tailed Fisher's exact test. **d**, CRISPR-MN acquire DNA damage (RNP Cas9 system). Shown is the frequency of  $\gamma$ H2AX positive micronuclei for the indicated gRNAs ( $n = 2$  experiments with 56, 46, 82, and 50 micronucleated cells analyzed, left to right). **e**, Example images of data from panel **d**, showing  $\gamma$ H2AX labeling. White arrows: micronuclei. Scale bars, 5  $\mu$ m. The  $\gamma$ H2AX focus in the primary nucleus likely decorates the centric portion of the broken chromosome. Alternatively, or additionally, it may label a DNA break on the homolog.

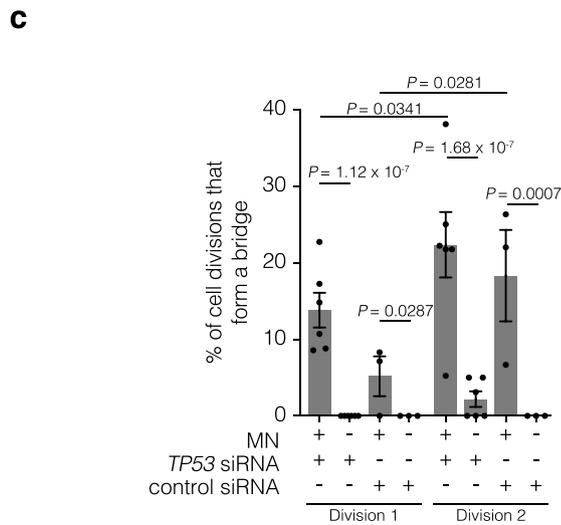
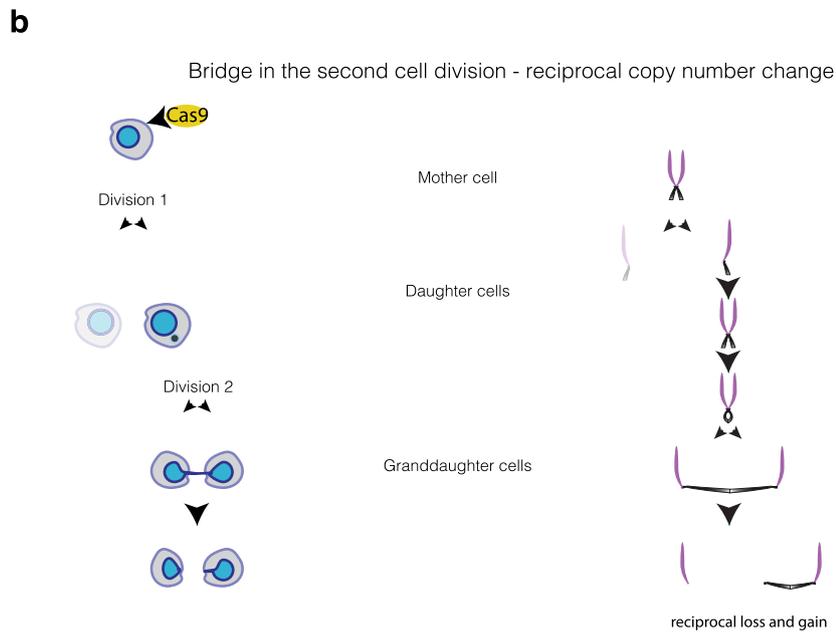
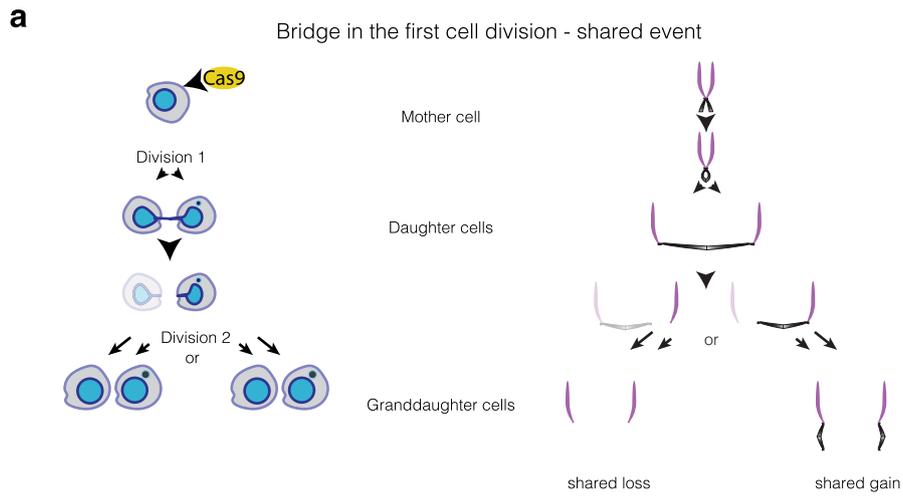


**Extended Data Fig. 3 | Haplotype copy number and SVs for the targeted chromosome for each sample in the paper.** Haplotype-resolved copy number and structural variant analysis for the targeted chromosome for each granddaughter pair. Red and blue dots represent 1 Mb copy number bins for each homolog, and curved lines represent structural variants of  $\geq 1$  Mb that could be on either homolog. Top, 'granddaughter a'; middle, 'granddaughter b'; bottom, sum copy number for each homolog for the pair of cells. Note that in most cases there should be a total of two red and two blue copies per granddaughter pair, and deviation from this represents certain missegregation or events, such as first-generation bridge formation. Copy number alterations occurring only in one daughter without a corresponding or reciprocal change in the other daughter were attributed to random noise due to variability in genome amplification quality. Text: inferred most likely explanation for each copy number and rearrangement profile. Note that alternative explanations exist for many samples, such as a G1 cut followed by replication of the cut chromosome.



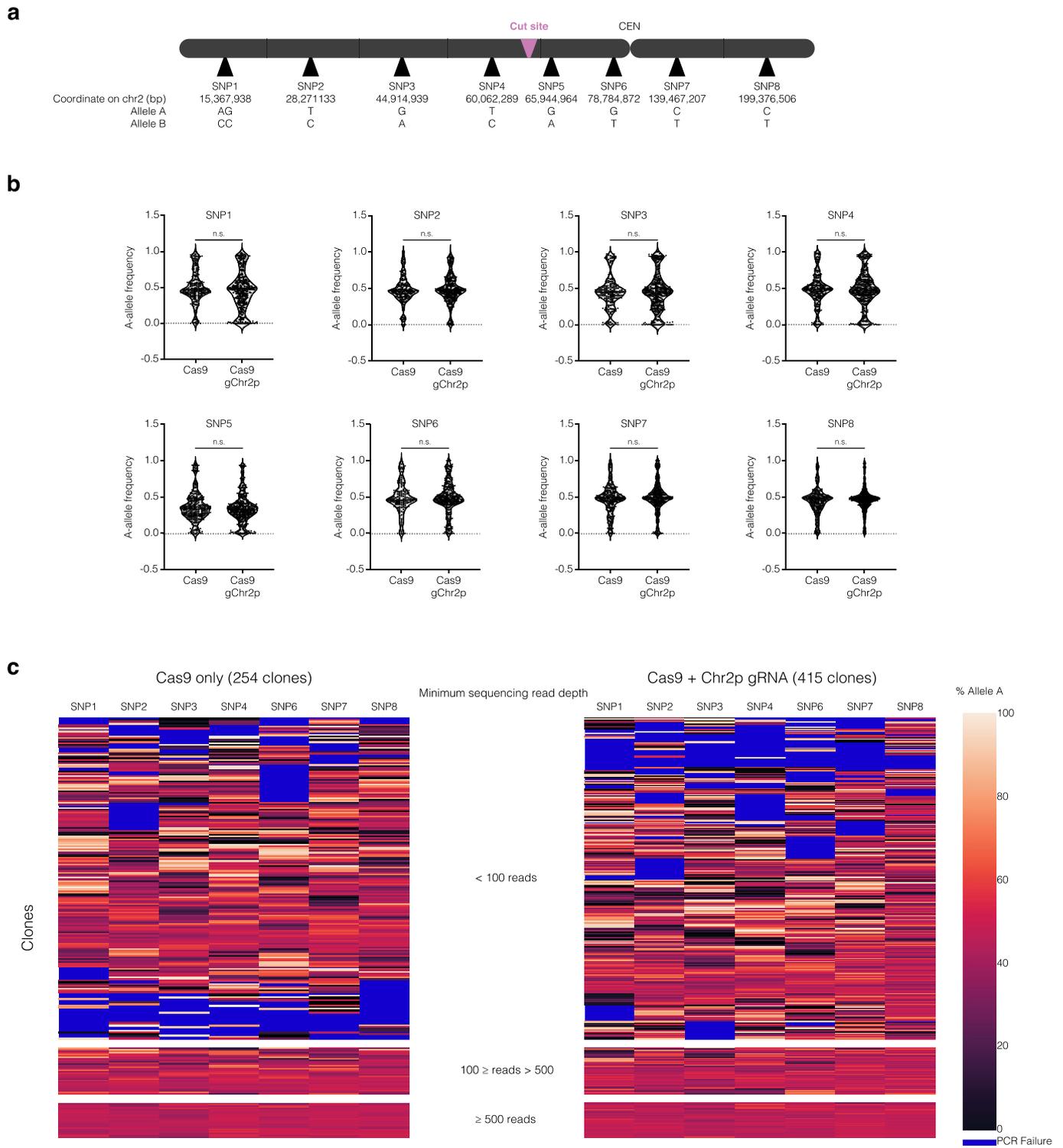
**Extended Data Fig. 4 | Clustering of DNA breakpoints, indicative of chromothripsis, on the telomeric side of the CRISPR-Cas9-targeted cut site.**

Breakpoint density for each daughter pair telomeric of the cut-site (red), relative to the rest of the genome (black), normalized by read depth. Data include both inter- and intra-chromosomal rearrangements. Significance is derived from a one-sided Poisson test<sup>28</sup>. *p* - values are rounded to the nearest exponent, except for those  $<10^{-30}$ . Bolded *p* - values denote significance after Bonferroni correction. Bonferroni-corrected  $\alpha = 0.0028$ .



Extended Data Fig. 5 | See next page for caption.

**Extended Data Fig. 5 | Chromosome bridge formation after CRISPR-Cas9 genome editing.** **a**, A bridge formed during the first cell division after Cas9 addition yields shared losses (left granddaughter pair) or gains (right granddaughter pair) depending upon how the bridge breaks. This copy number alteration will be on the centromeric side of the CRISPR-Cas9 break. Cells and chromosomes are depicted as in Fig. 3. The non-micronucleated daughter cell is faded and not followed. In this example, the micronuclear chromosome from the first division is not reincorporated and becomes a micronucleus in one granddaughter. **b**, A bridge formed in the second cell division yields reciprocal copy number gains and losses centromeric of the break (comparing the granddaughters). The non-micronucleated daughter cell is faded and not followed. **c**, The frequency of detectable chromosome bridges by live-cell imaging after CRISPR-Cas9 genome editing in RPE-1 cells expressing a fluorescence reporter that marks chromosome bridges efficiently (GFP-BAF). DNA breaks were induced with the Chr5q-targeting inducible Cas9 system after treatment with siRNA against *TP53* or non-targeting siRNA. Chromosome bridges frequently arise when a micronucleus forms in at least one daughter cell in the first division (MN+), whereas when a micronucleus is not formed, bridge formation is uncommon (MN-). In the second division, micronucleated cells are more prone to bridge formation (MN+) as compared to non-micronucleated cells (MN-). Bridge formation is more frequent in the second division, which may be explained by isolation of the acentric arm from the centric fragment of the chromosome (p53 siRNA:  $n = 6$  experiments with 175 and 172 cell divisions imaged [division 1] and 136 and 132 divisions imaged [division 2]; non-targeting siRNA:  $n = 3$  experiments with 89 and 90 cell divisions imaged [division 1] and 43 and 58 divisions imaged [division 2]). Error bars: mean  $\pm$  SEM, two-tailed Fisher's exact test.



Extended Data Fig. 6 | See next page for caption.

**Extended Data Fig. 6 | Allele ratios of heterozygous SNPs from CD34+ HSPC colonies after editing.** **a**, Map of SNP locations, cut site, and the centromere (CEN) on chromosome 2 (not to scale). **b**, The distribution of A-allele frequencies for samples where A-allele and B-allele frequencies comprise greater than 90 % of the sequence reads. The p-values for SNPs 1–8 are  $p = 0.1089, 0.3140, 0.9967, 0.7792, 0.2751, 0.4659, 0.3178,$  and  $0.2239$  respectively (two-tailed Mann-Whitney U test). SNP5 exhibited a strong deviation from a 50:50 allelic ratio even in unedited controls, which may reflect a PCR amplification artifact. Because of this, SNP5 was excluded from subsequent analysis. **c**, Heatmap of allele frequency data for all samples (Cas9, left; Cas9 + Chr2p gRNA, right). The heatmap is divided into sections based on the minimum sequencing read depth. Minimum sequencing read depth was defined by the SNP with the lowest number of reads in the sample. Samples with low read depth exhibited high variability in allelic ratios, likely reflecting low input DNA from small colonies. Because we lack phasing information, any deviation from a 50:50 allele ratio for multiple adjacent SNPs suggests segmental copy number alterations. See Supplementary Note for methods and additional discussion. For this experiment, only several hundred clones could feasibly be grown and analyzed, whereas patients will receive tens to hundreds of millions of edited cells. From the several hundred clones in our experiment, we only expect ~20 cells containing micronuclei based on micronucleation rates measured in Fig. 6. Extrapolating from these data, patients will receive millions of micronucleated cells, each one with the potential to undergo chromothripsis and grow into a clone. We note that this assay will not detect copy-number neutral chromothripsis nor chromothripsis that maintains copy number and heterozygosity at the assayed SNPs, with rearrangements located on other segments of the edited chromosome. Moreover, this approach has a limited ability to detect copy number gains or subclonal events that result from ongoing genomic instability triggered by micronucleation or bridging derived from the initial editing.

## Reporting Summary

Nature Research wishes to improve the reproducibility of the work that we publish. This form provides structure for consistency and transparency in reporting. For further information on Nature Research policies, see our [Editorial Policies](#) and the [Editorial Policy Checklist](#).

### Statistics

For all statistical analyses, confirm that the following items are present in the figure legend, table legend, main text, or Methods section.

n/a Confirmed

- The exact sample size ( $n$ ) for each experimental group/condition, given as a discrete number and unit of measurement
- A statement on whether measurements were taken from distinct samples or whether the same sample was measured repeatedly
- The statistical test(s) used AND whether they are one- or two-sided  
*Only common tests should be described solely by name; describe more complex techniques in the Methods section.*
- A description of all covariates tested
- A description of any assumptions or corrections, such as tests of normality and adjustment for multiple comparisons
- A full description of the statistical parameters including central tendency (e.g. means) or other basic estimates (e.g. regression coefficient) AND variation (e.g. standard deviation) or associated estimates of uncertainty (e.g. confidence intervals)
- For null hypothesis testing, the test statistic (e.g.  $F$ ,  $t$ ,  $r$ ) with confidence intervals, effect sizes, degrees of freedom and  $P$  value noted  
*Give  $P$  values as exact values whenever suitable.*
- For Bayesian analysis, information on the choice of priors and Markov chain Monte Carlo settings
- For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes
- Estimates of effect sizes (e.g. Cohen's  $d$ , Pearson's  $r$ ), indicating how they were calculated

*Our web collection on [statistics for biologists](#) contains articles on many of the points above.*

### Software and code

Policy information about [availability of computer code](#)

**Data collection** Fixed and live-cell imaging were performed using Metamorph 7.10.2.240 (Molecular Devices) and NIS-Elements 5.11.02 and 5.11.03 AR (Nikon).

**Data analysis** Graphical data and were plotted and statistical analysis was performed using Graphpad Prism 7.0d (Graphpad Software Inc). Live-imaging data were analyzed using NIS-Elements AR 5.20.00 (Nikon). A custom ImageJ Macro (v 1.51) was used for quantification of fixed imaging data, and is uploaded to Zenodo (doi:10.5281/zenodo.4533330). HPLC data were analyzed using LabSolutions (v 5.81 SP1) software. Cytogenetic experiments in CD34+ HSPCs were analyzed using Cytovision version 7.7 (Leica Biosystems). Sequencing data were processed with a pipeline that uses public components for alignment (BWA 0.7.12-r1039), deduplication (Picard 2.2.4 software suite), and additional steps, which have been added to a repository at Github ([https://github.com/chengzhongzhangDFCI/CN\\_and\\_SV](https://github.com/chengzhongzhangDFCI/CN_and_SV)). Details of the pipeline are published in Zhang et al., Nature, 2015 and Umbreit et al., Science 2020.

For manuscripts utilizing custom algorithms or software that are central to the research but not yet described in published literature, software must be made available to editors and reviewers. We strongly encourage code deposition in a community repository (e.g. GitHub). See the Nature Research [guidelines for submitting code & software](#) for further information.

### Data

Policy information about [availability of data](#)

All manuscripts must include a [data availability statement](#). This statement should provide the following information, where applicable:

- Accession codes, unique identifiers, or web links for publicly available datasets
- A list of figures that have associated raw data
- A description of any restrictions on data availability

Whole images of cells presented in Figs. 1f, 6e,i, and Extended Data Fig. 2e, and filtered SV calls are available under doi:10.5281/zenodo.4533300. Source data for

Figs. 1c-e, 4, 6a-d,f,h and Extended Data Figs. 1b-f,h-j, 2a-d, 4, 5c, and 6 are provided with the paper, including the unprocessed Western blot from Extended Fig. 1c. Original images and videos that contribute to analyses in Figs. 1e, 4, 6c,h, Extended Data Figs. 1d, 2, 5c, and Look-Seq experiments (Figures 2, 3, 5, Extended Data Fig. 3) are not published due to constraints of file size, but are available upon reasonable request. CD34+ HSPC-derived FISH and SKY images and analyses (Fig. 6d-g) were generated by The St. Jude Cytogenetic Shared Resource Laboratory and derived data supporting the findings in Fig. 6d-g are available from the corresponding author upon request. Sequence read data are available in the Sequencing Read Archive (SRA) under Bioproject PRJNA676146.

## Field-specific reporting

Please select the one below that is the best fit for your research. If you are not sure, read the appropriate sections before making your selection.

Life sciences  Behavioural & social sciences  Ecological, evolutionary & environmental sciences

For a reference copy of the document with all sections, see [nature.com/documents/nr-reporting-summary-flat.pdf](https://www.nature.com/documents/nr-reporting-summary-flat.pdf)

## Life sciences study design

All studies must disclose on these points even when the disclosure is negative.

Sample size	Statistical analyses were not used to predetermine sample sizes for each experiment. Instead sample sizes were chosen based on feasibility of the experiment and sample sizes used in studies testing similar metrics and effect sizes, such as staining of micronuclei, single-cell sequencing, and FISH (Zhang et al, Nature 2015; Liu et al, Nature 2018; Cullot et al, Nat Comm 2019).
Data exclusions	As stated in the Methods section, Look-Seq samples were excluded from further analysis if low-pass sequencing revealed poor library quality as noted from visibly noisy copy number plots in 10 Mb bins or if the sample harvested did not have a copy number change at the targeted cut site (Figure 2a, Extended Data Figure 3). One granddaughter pair sequenced deeply was excluded because total copy number of the targeted segment most likely resulted from accidental harvesting of one daughter of the micronucleated cell, and a second unrelated cell. This sample did have copy number gain up to 4 copies of the targeted arm in one cell harvested, indicating replication of micronucleated contents, consistent with data in the paper. The second cell had a WT copy number across the genome, and was likely unrelated. Had this sample been included it would have appeared in Figure 2a and Extended Data Figure 3. As stated in the manuscript, samples were only assayed for DNA replication (Extended Data Fig. 2b) if the primary nucleus had EdU signal >150 a.u., indicative of the cell having been in S-phase. In CD34+ cells one replicate (Figure 5c,h) was imaged at higher magnification, and the sample was reimaged at lower magnification to match other replicates performed. Only the lower magnification images and scoring were presented. Exclusionary criteria listed here, including low-pass sequencing metrics, the requirement of an on-target event for deep sequencing, the requirement that imaging and sequencing data support the acquisition of sister cells for sequencing, the requirement that primary nuclei are replicating to measure micronucleus replication, and the requirement that all replicates for a given experiment be imaged and quantified under the same magnification were determined prior the experiment. After initial experiments were performed measuring micronucleus frequency after editing it was determined that knowing editing efficiency was required for interpretation. Therefore, micronucleus frequencies in Figure 1c,d were reported only for experiments in which DNA was collected for editing efficiency, but results were comparable to initial experiments in which DNA collection was not performed.
Replication	All attempts at replication were successful. All experiments, except for that in Extended Data Figure 6, were performed in at least two replicates, usually three. Experiments establishing specific micronucleus formation were performed in multiple cell lines, and with multiple different gRNAs and target sites.
Randomization	Cells quantified were randomly selected from the total population of cells.
Blinding	Quantification of micronuclei and DNA damage in CD34+ HSPCs was performed by a researcher blinded to sample identity. Samples that were quantitatively scored for micronucleus rupture, DNA damage, and EdU were scored by unbiased automated analysis by an ImageJ Macro, and so were not subjected to qualitative judgment of the analysis.

## Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

### Materials & experimental systems

n/a	Involved in the study
<input type="checkbox"/>	<input checked="" type="checkbox"/> Antibodies
<input type="checkbox"/>	<input checked="" type="checkbox"/> Eukaryotic cell lines
<input checked="" type="checkbox"/>	<input type="checkbox"/> Palaeontology and archaeology
<input checked="" type="checkbox"/>	<input type="checkbox"/> Animals and other organisms
<input checked="" type="checkbox"/>	<input type="checkbox"/> Human research participants
<input checked="" type="checkbox"/>	<input type="checkbox"/> Clinical data
<input checked="" type="checkbox"/>	<input type="checkbox"/> Dual use research of concern

### Methods

n/a	Involved in the study
<input checked="" type="checkbox"/>	<input type="checkbox"/> ChIP-seq
<input checked="" type="checkbox"/>	<input type="checkbox"/> Flow cytometry
<input checked="" type="checkbox"/>	<input type="checkbox"/> MRI-based neuroimaging

## Antibodies

Antibodies used	Immunoblotting primary antibodies: Cas9 (Cell Signaling Technology #14697S, clone 7A9-3A3, 1:1000); $\alpha$ -Tubulin (clone DM1A, Sigma #T9026, 1:10000); p53 (Cell Signaling Technology #48818S, clone DO-7, 1:1000) and GAPDH loading control (Abcam ab9485, 1:5000). Immunofluorescence primary antibodies: gH2AX (1:400-500, MilliporeSigma, 05-636-l, clone JBW301), LBR (1:100, Abcam, ab32535, clone E398L); Immunofluorescence secondary antibodies: Alexa Fluor, 488 (A11029), 568 (A11031) and 647 (A21236) (1:1000, Life Technologies).
Validation	LBR antibody (ab32535) was previously validated by (Mimura Y et al. J Cell Sci, 2016) and its localization was confirmed in this study. gH2AX (MilliporeSigma, 05-636-l) antibody clone was previously validated by irradiation (Crasta et al., Nature, 2012). anti-tubulin (DM1A) was previously validated (Blose SH et al, J Cell Biol, 1984). Cas9 antibody (#14697S) was validated previously (Chen, Cell Reports, 2019) by testing cells with and without Cas9 expression. It was further validated in this study by being used in cells with and without Cas9 induction. p53 antibody was validated by siRNA knockdown. p53 antibody was previously validated by siRNA knockdown by Contadini et al, Cell Death & Disease, 2019.

## Eukaryotic cell lines

### Policy information about [cell lines](#)

Cell line source(s)	hTERT-immortalized RPE-1 and BJ-5ta foreskin fibroblast cell lines were acquired from ATCC (catalog numbers CRL-4001 and CRL-4001, respectively); Inducible Cas9 expressing RPE-1 cells were acquired from I. Cheeseman. CD34+ cells were acquired from Key Biologics, Lifeblood three de-identified healthy donors.
Authentication	RPE-1 cells were authenticated by our single-cell sequencing experiments which validated known karyotypic abnormalities in the cell line, including der(X)t(X;10), and known SNPs in the cell line. BJ cells were not validated. CD34+ HSPCs were enriched by bead selection and were functionally validated by erythroid differentiation.
Mycoplasma contamination	All immortalized cell lines were negative for mycoplasma contamination as assayed by the Lonza MycoAlert mycoplasma test kit. CD34+ cells were not tested, but were only minimally passaged.
Commonly misidentified lines (See <a href="#">ICLAC</a> register)	No commonly misidentified cell lines were used in this study.